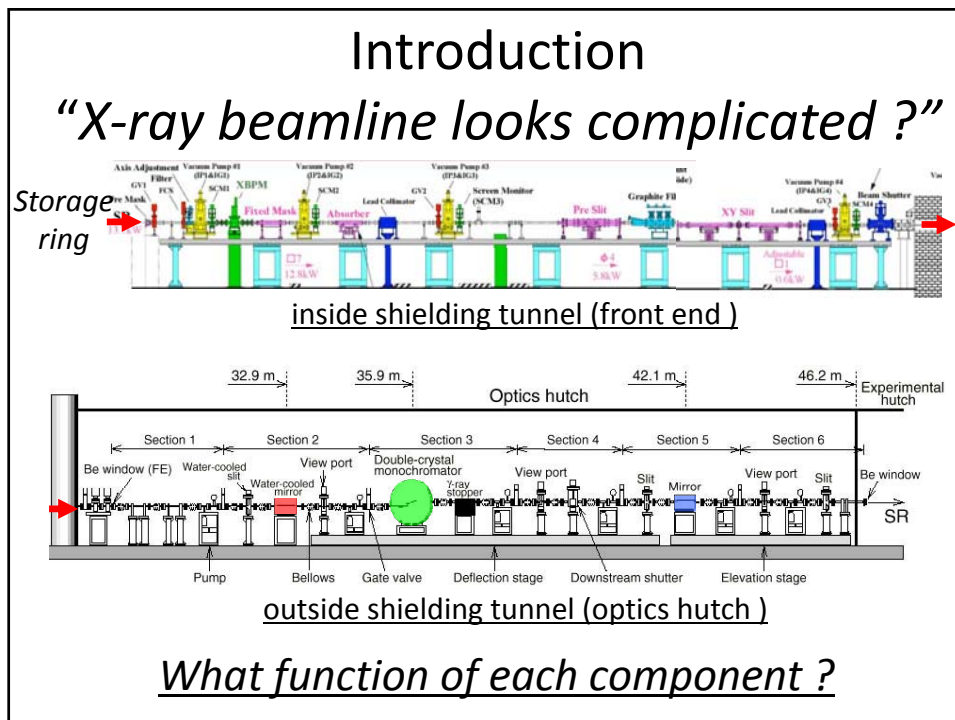
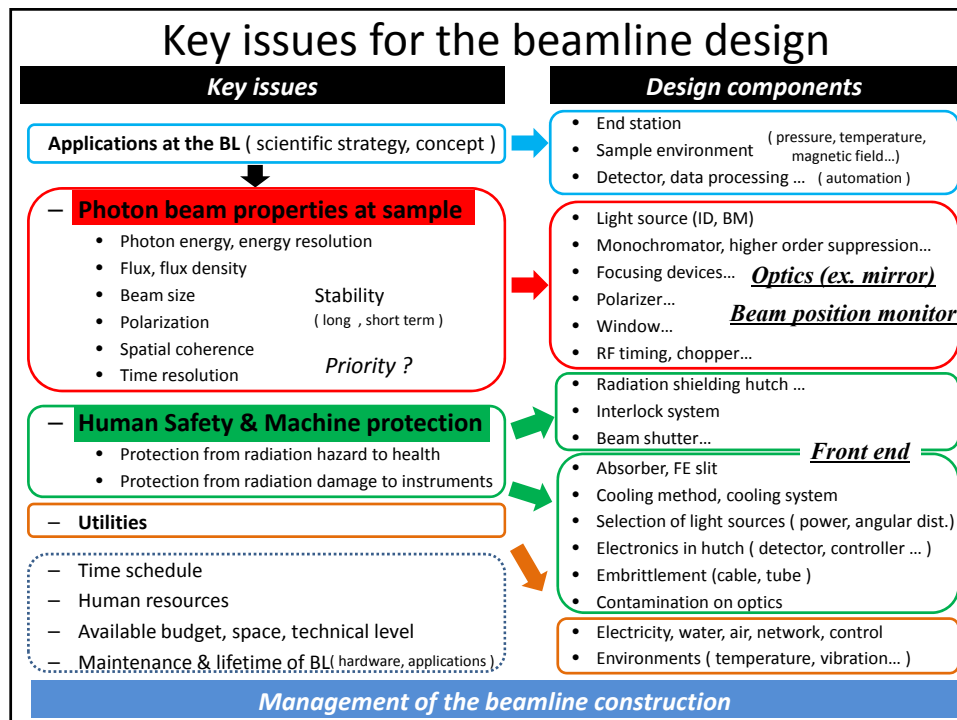
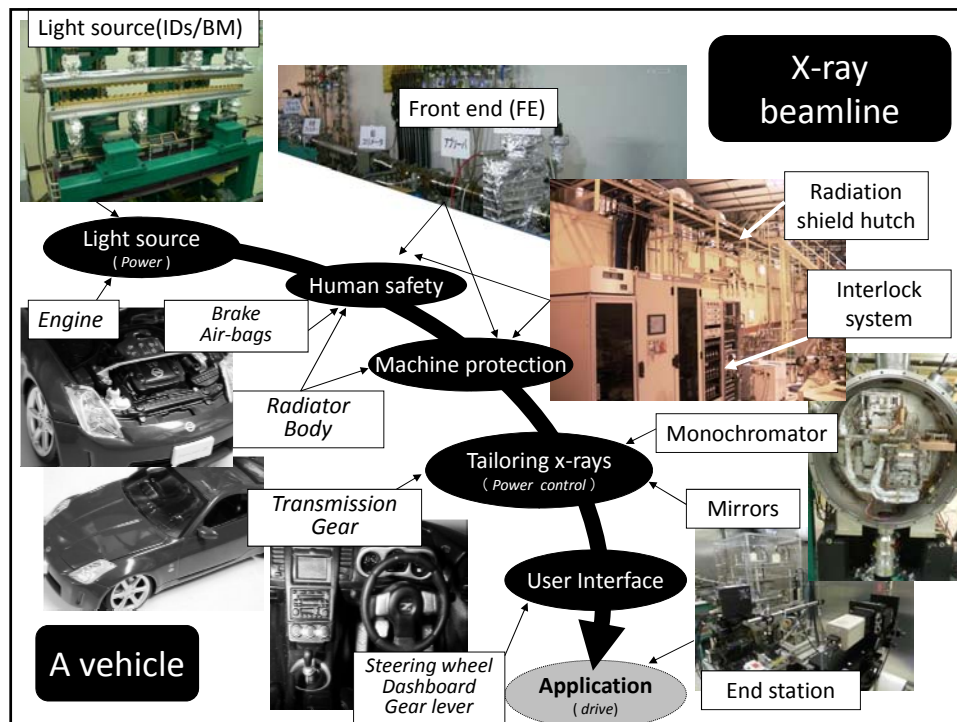


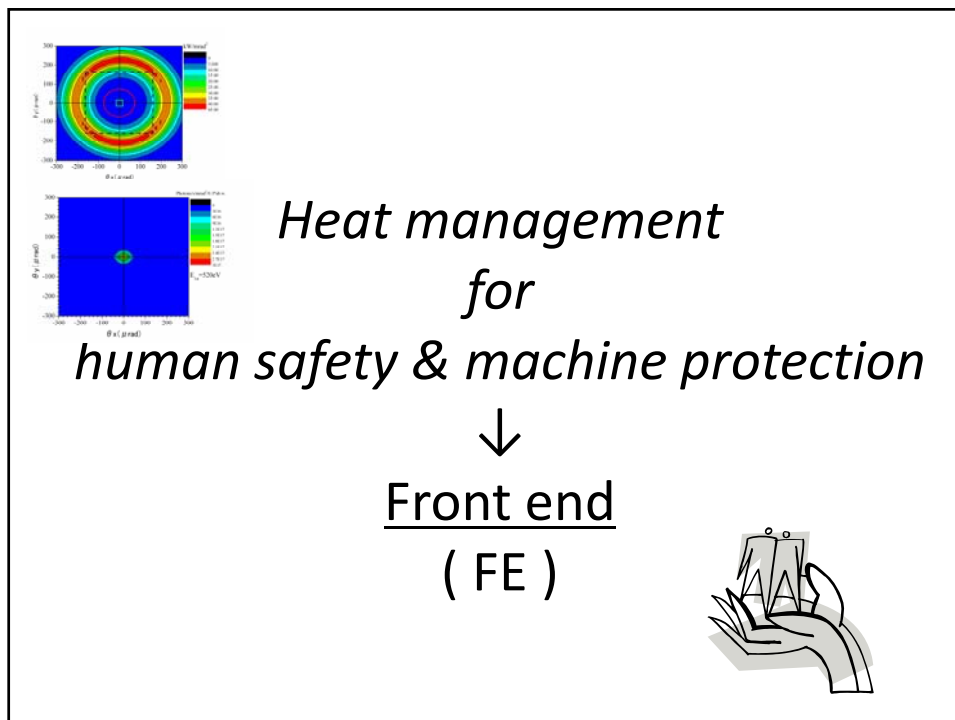
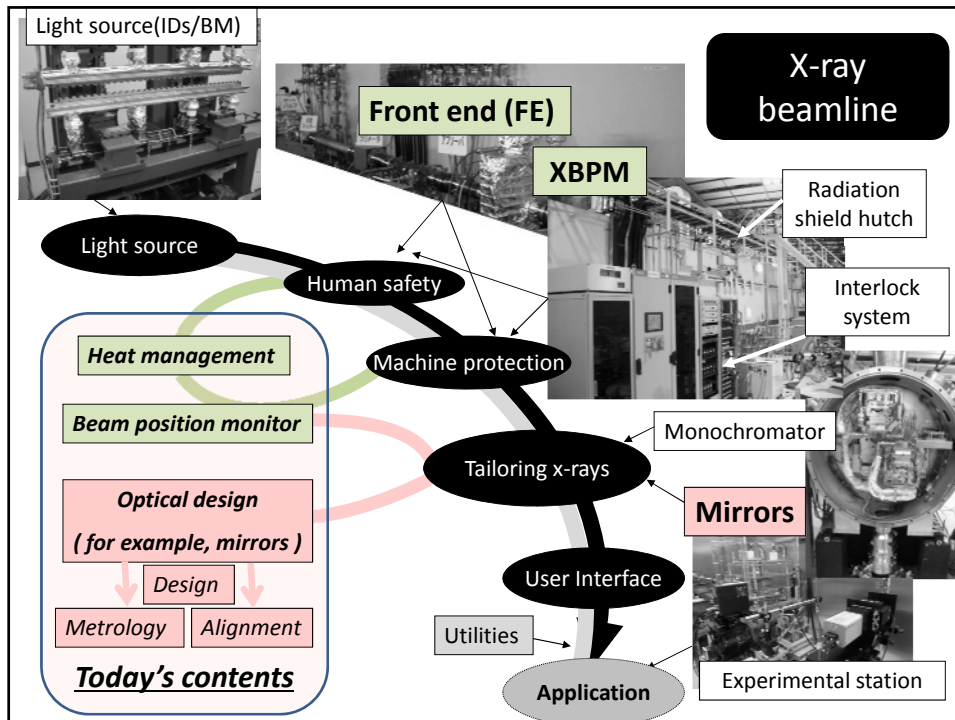
Cheiron school 2011 , 27<sup>th</sup> Sep. 2011, SPring-8  
X-ray beamline design II

## *Optics Engineering for x-ray beamline design*

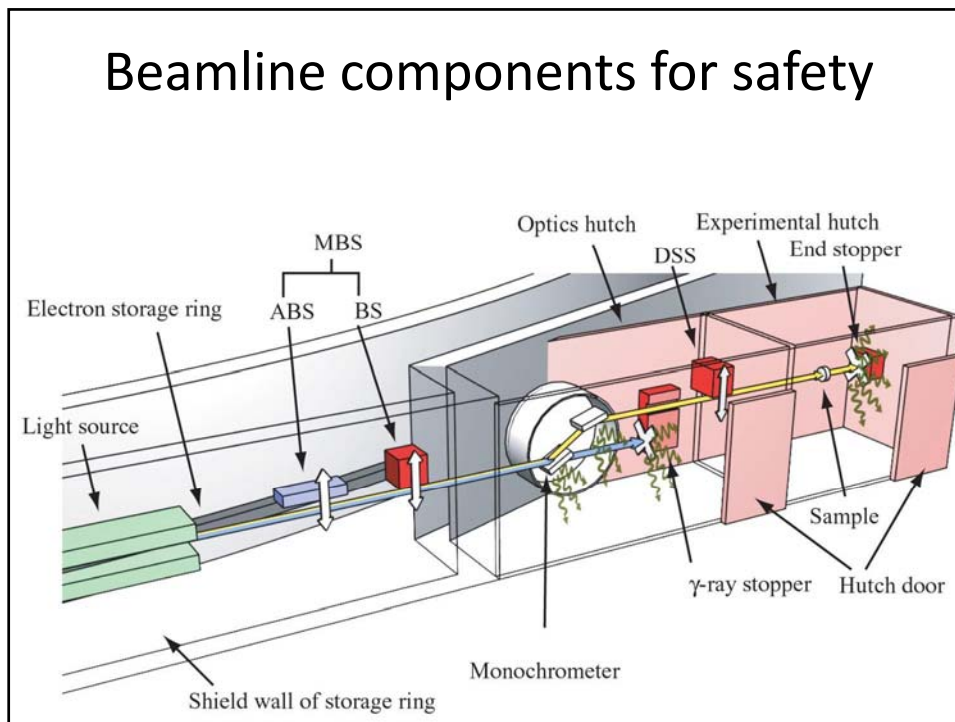
Haruhiko Ohashi  
JASRI / SPring-8





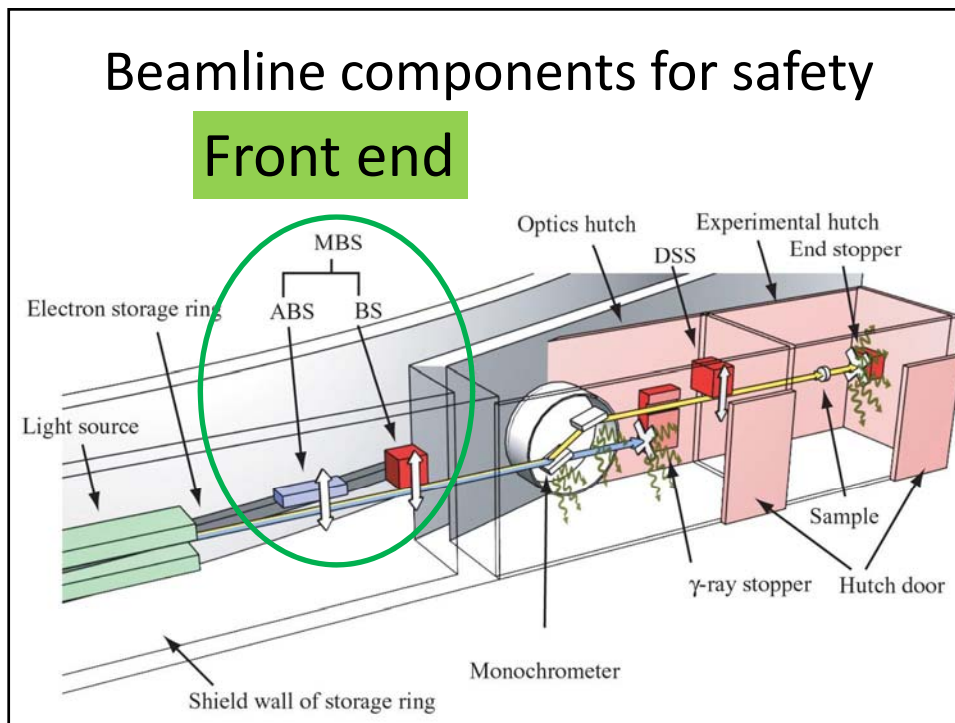


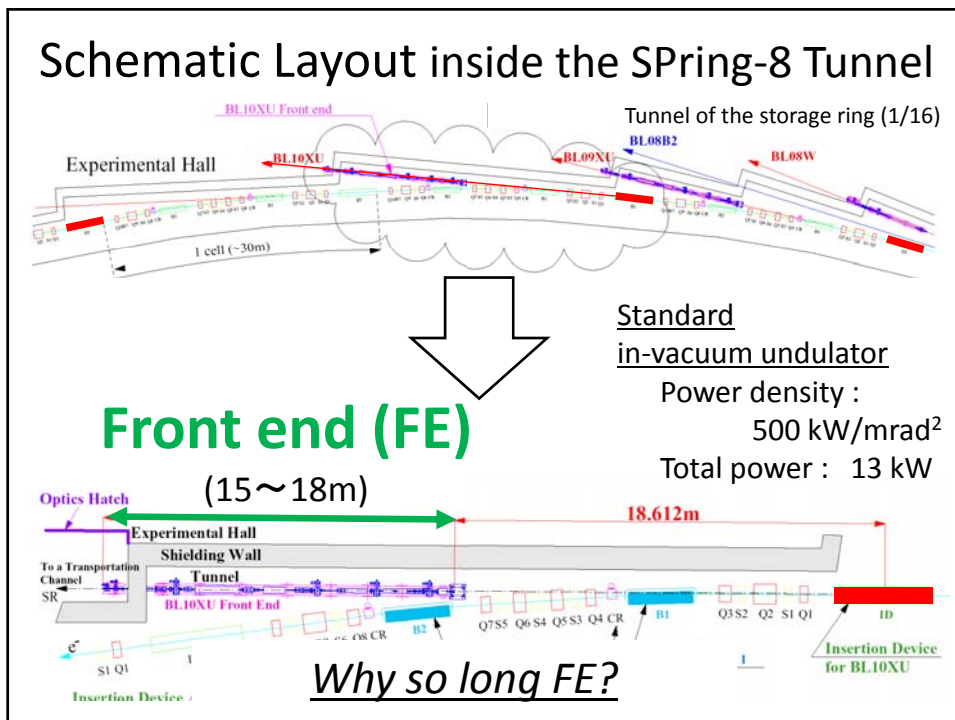
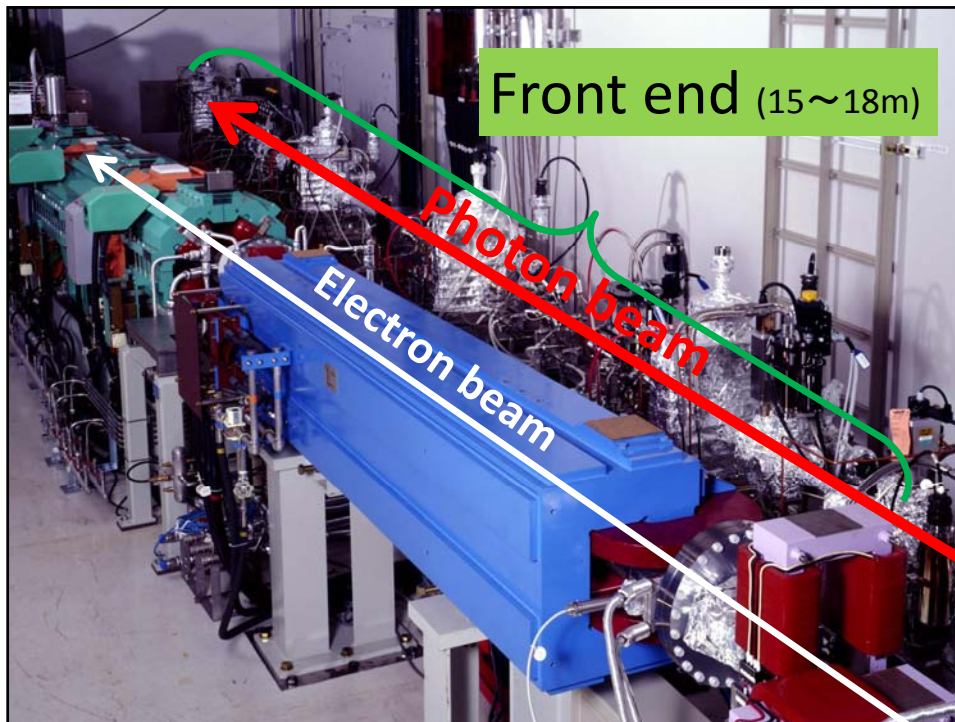
## Beamline components for safety



## Beamline components for safety

### Front end

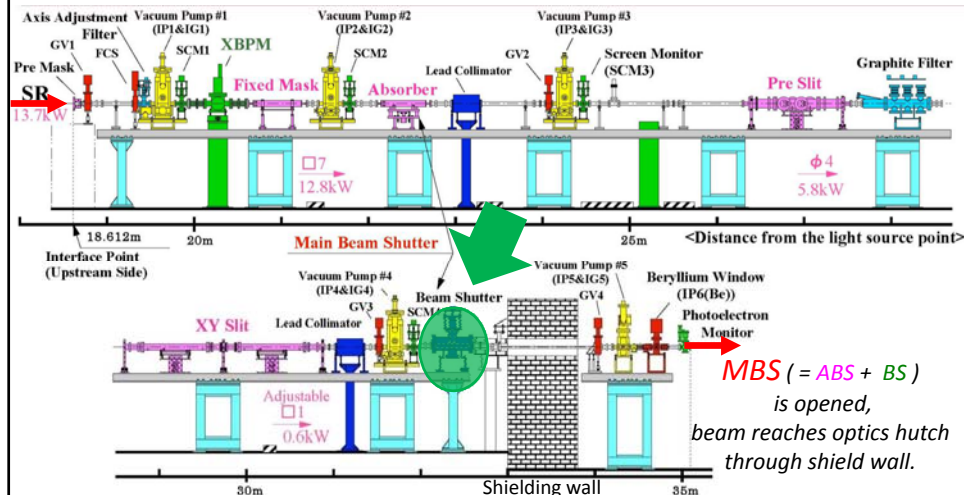






## Key functions & components of FE

- (a) Shielding for human safety **Beam shutter (BS)**, collimator ( radiation shield )
- (b) Handling high heat load *for safety* **Absorber**, masks (to prevent BS from *melting*)
- (b') Handling high heat load *for optics* **XY slit, filters** ( to prevent optics from *distorting* )
- (c) Monitoring the x-ray beam position **XBPM** (x-ray BPM ), **SCM** (screen monitor )
- (d) Protection of the ring vacuum **FCS** (fast closing shutter ), **Vacuum system**



When we operate a main beam shutter (MBS), what happens ?

**X-ray** → **Absorber ( Abs )**

*to protect BS from heat load*

A block of **33 kg** is moved

Move

Glidcop®  
(copper that is dispersion-strengthened with ultra-fine particles of aluminum oxide)

→ **Beam shutter ( BS )**

*to shield you against radiation*

A block of **30~46 kg** is moved

Move

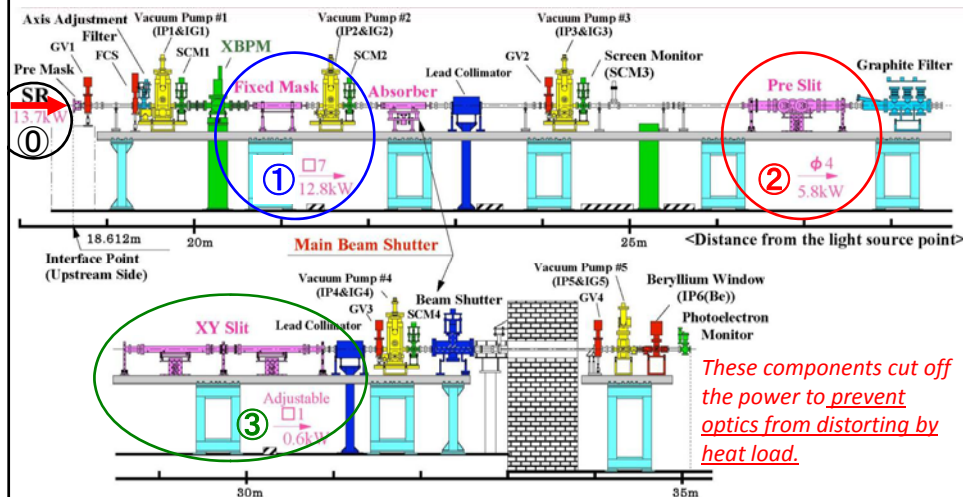
Heavy metal  
(alloy of tungsten) *the thermal conductivity not so high*

After Abs is fully closed, BS is closed.  
After BS is fully opened, Abs is opened.  
The sequences are essential to keeping safety.

**ABS and BS work on ways together**  
**to protect us from radiation when we enter the hutch.**

Revised Other key function is to handle high heat load for optics

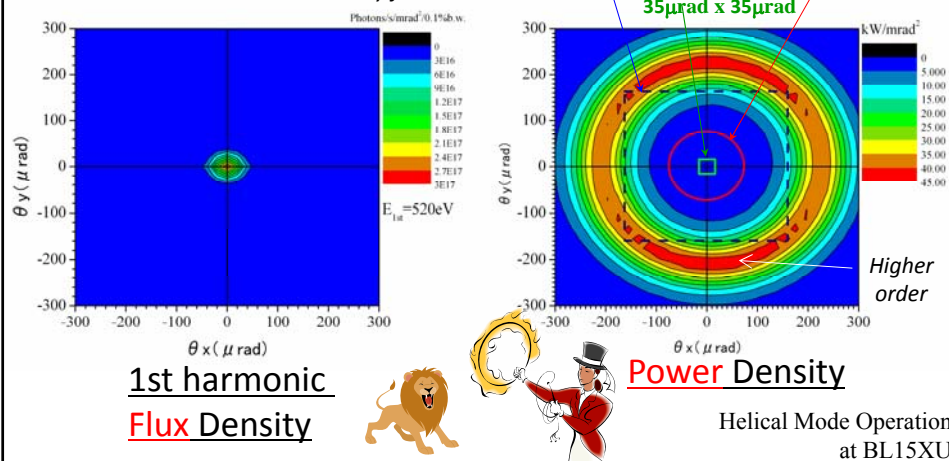
- (a) Shielding for human safety
  - (b) Handling high heat load for safety
  - (b') Handling high heat load for optics
  - (c) Monitoring the x-ray beam position
  - (d) Protection of the ring vacuum
- Beam shutter (BS), collimator ( radiation shield )  
 Absorber, masks (to prevent BS from melting)  
 XY slit, filters ( to prevent optics from distorting )  
 XBPM ( x-ray BPM ), SCM (screen monitor )  
 FCS (fast closing shutter ), Vacuum system

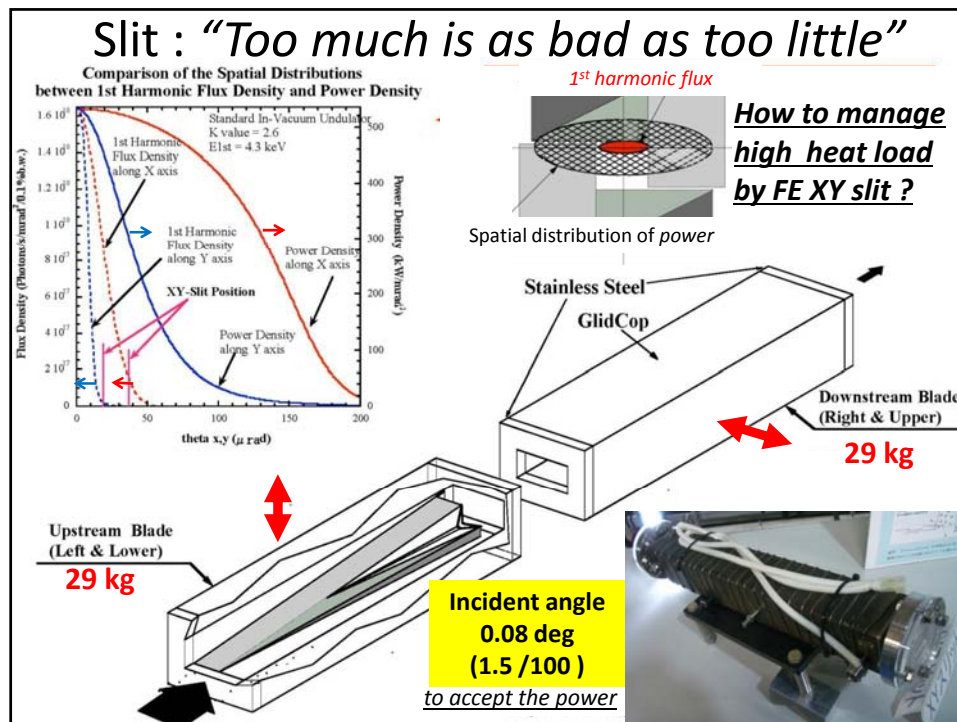


Revised FE: "For users to take lion's share"

Adding a spatial limitation to photon beam,  
 supplying only a good quality part around the central axis of ID  
 to transport optical system safely and stably.

The size of XY slit is set to 1.05mm  $\square$ .  
 XY slit is installed  $\sim 30\text{m}$  away from ID.





## Handling Technology of high heat load

SPring-8 Standard In-Vacuum Undulator : **13.7kW, 550kW/mrad<sup>2</sup>** at SPring-8

### 1. Grazed Angle Technology (Mask, Absorber, XY slit)

(1) Inclining absorbing surface to X-ray beam  
⇒ Decrease of power density of per unit area

(2) Applying the advanced material ⇒ Glid Cop

(3) Enhancing the heat transfer coefficient of the cooling channel  
⇒ Copper wire coil (SPring-8)  
Copper wire mesh (APS)

→ **~ 10 kW/m**

To increase the cooling ability within a more compact space →

### 2. Volumetric Heating Technology (Pre slit)

Low-Z Material

Cooling Holder (Copper)

Dissipating high surface heat flux in depth by utilizing a low-Z material, such as graphite or beryllium.

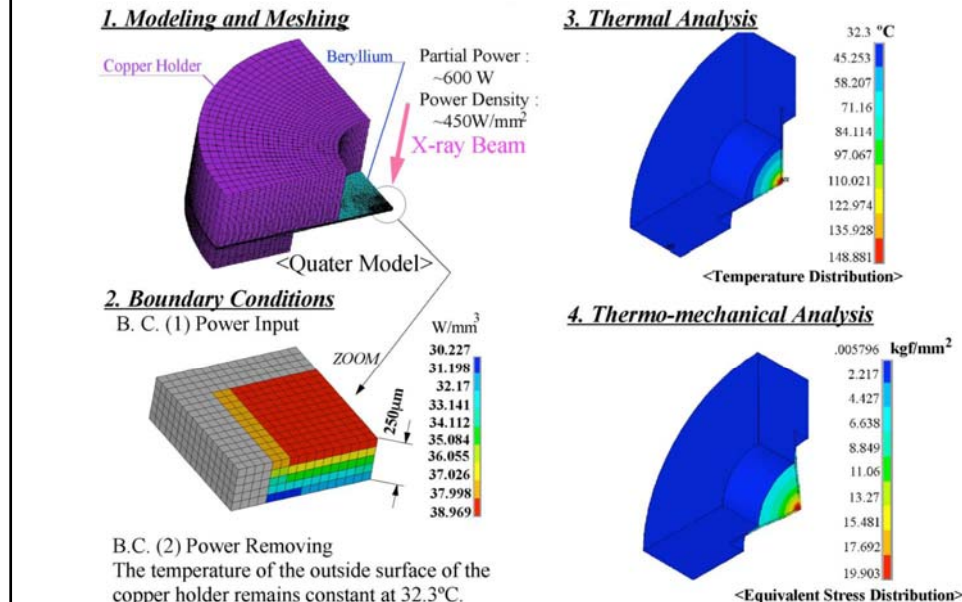
Developing the Volumetric Heating Mask

Target → **~ 5 kW/0.2m**



## Simulation: “better safe than sorry”

For instance, the distributions of temperature and stress of Be window at FE can be calculated by FEA (finite element analysis).



### Key issues of FE design

1. *There exists a category of the beamline front ends.*

They have their proper functions, proper missions based on the principles of human **radiation safety**, **vacuum protection**, **heat-load** and **radiation damage** protection of themselves.

They have to deal with every mode of ring operation and every mode of beamline activities.

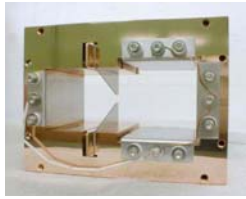
2. *Any troubles in one beamline should **not make any negative effect to the other beamlines.***

3. *Strongly required to be a **reliable and stable** system.*

We have to adopt key technologies which are reliable, stable and fully established as far as possible.

*Higher the initial cost, the lower the running cost from the long-range cost-conscious point of view.*





*Monitoring  
stability of photon source*

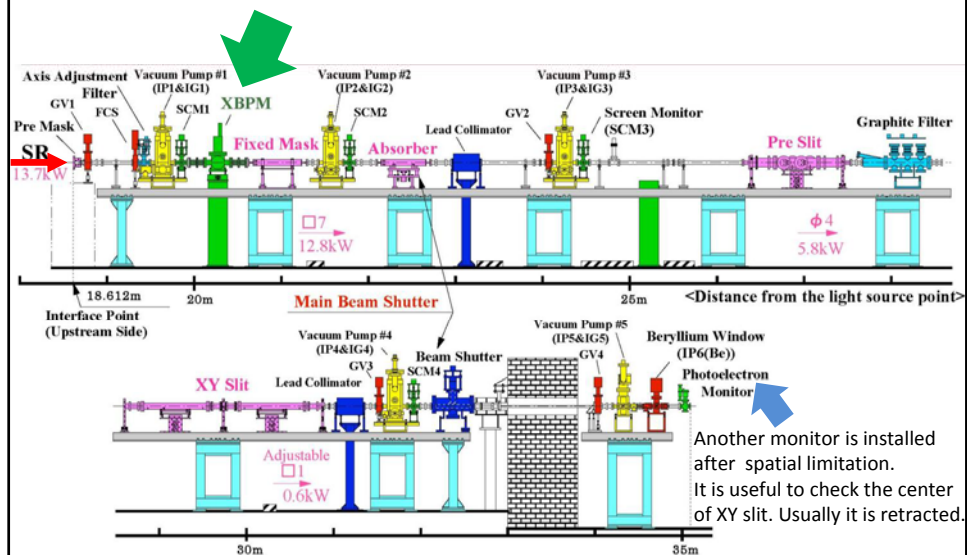


X-ray beam position monitor  
( XBPM )



## *Where is XBPM installed ?*

XBPM is installed before any spatial limitation. You hardly find it.  
It is *quietly* monitoring beam position *at any time*.



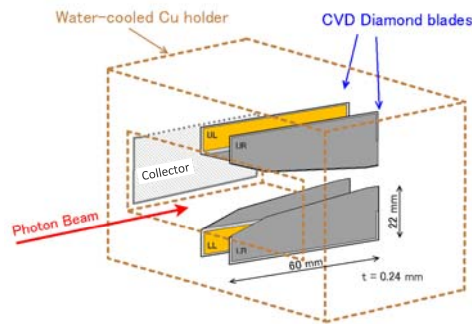
Revised

## Structure of XBPM's detector head

( Photo-emission type )

- Four blades are placed in **parallel to the beam axis** to reduce heat load.
- **CVD diamond** is used because of excellent heat property

Electrons from each blade of Ti/Pt/Au on diamond emitted by **outer side of photon beam**  
 The horizontal or vertical positions computed by each current



XBPM

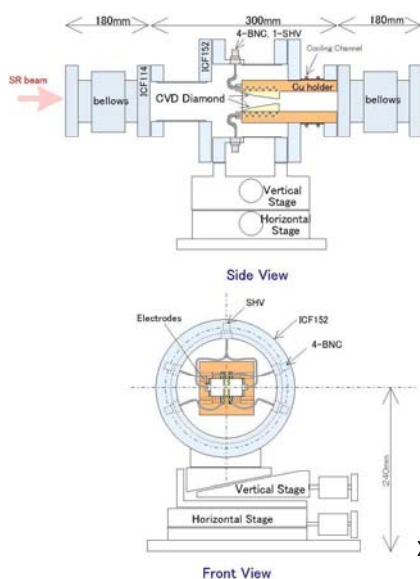
for insertion device (ID) beamline

XBPM

for bending magnet (BM) beamline

- |   |   |
|---|---|
| <span style="display:inline-block; width:15px; height:15px; background-color:yellow; border:1px solid black;"></span> Heat sink | Ti/Pt/Au coated (1000/2000/1-2 $\mu$ m) |
| <span style="display:inline-block; width:15px; height:15px; background-color:gray; border:1px solid black;"></span> Electrode   | Titanium coated (5000-10000A)           |

## Fixed-blade style XBPM



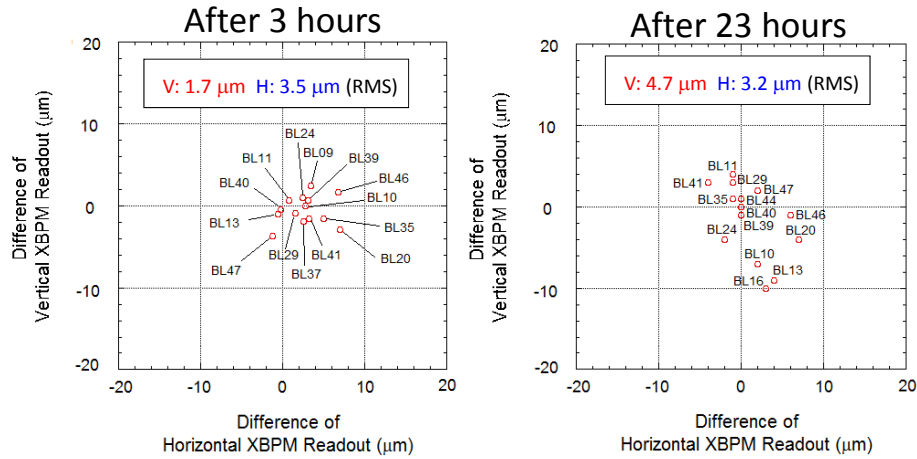
for SPring-8 in-vacuum undulator,  
 etc. (19 beamlines)

XBPM is installed on stable stand and stages

Revised

## High stability of XBPM

As the stability is compared with other monitors outside wall, the stability of XBPM for 3 hours and 23 hours are measured.

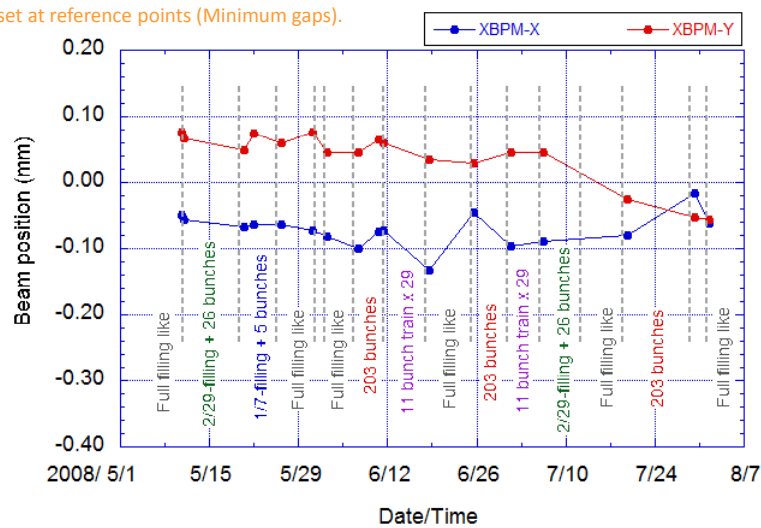


All Gaps are set at reference points (Minimum gaps).

**Stability of the XBPM is a few microns for a day**  
*under the same conditions (ID-gap, filling patter & ring current).*

## Long term stability of XBPM at BL47XU

Gaps is set at reference points (Minimum gaps).



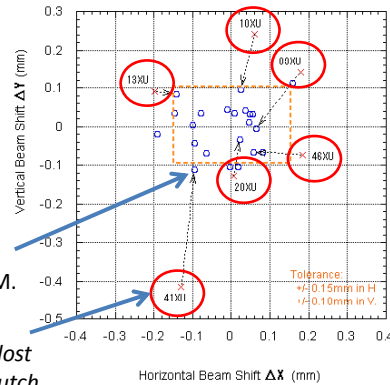
Revised

## Orbit correction using XBPM

2007/02/27 Aoyagi

Check of ID beam orbits with XBPMS  
at beginning of the 1<sup>st</sup> cycle, 2007

Reference orbit: 2006/12/18 11:14:18



	<After Correction> 2007/02/26 20:51:49		<Before Correction> 2007/02/26 19:09:24	
	Horizontal (mm)	Vertical (mm)	Horizontal (mm)	Vertical (mm)
BL08XU	---	---	---	---
BL09XU	0.064	-0.006	0.180	0.141
BL10XU	0.024	0.096	0.060	0.241
BL11XU	0.008	0.035		
BL12XU	0.055	0.033		
BL13XU	0.141	0.084	-0.199	0.090
BL15XU	-0.011	0.043		
BL16XU	-0.190	-0.019		
BL17SU	---	---	---	---
BL19XU	---	---	---	---
BL20XU	0.022	-0.033	0.006	-0.129
BL22XU	0.045	0.011		
BL23SU	-0.064	-0.070		
BL24XU	-0.078	0.035		
BL25SU	0.081	-0.067		
BL27SU	0.019	-0.105		
BL29XU	0.049	-0.031		
BL35XU	-0.095	-0.043		
BL37XU	-0.100	0.003		
BL39XU	---	---	---	---
BL40XU	0.037	0.041		
BL41XU	-0.094	-0.112	-0.129	-0.416
BL44XU	-0.004	-0.104		
BL45XU	-0.147	0.035		
BL46XU	0.058	-0.066	0.185	-0.075
BL47XU	0.159	0.112		

Orbit corrected for  
BL08XU, BL09XU, BL10XU, BL13XU,  
BL20XU, BL41XU, BL46XU

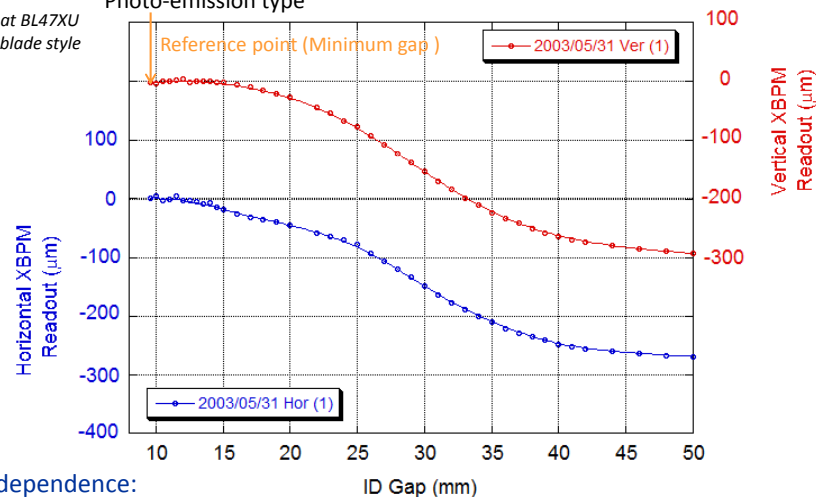
*A fixed point observation of XBPM is helpful for a regular axis from ID.*

Revised

## XBPM of ID-Gap dependence

Photo-emission type

Measured at BL47XU  
with fixed-blade style



Gap dependence:

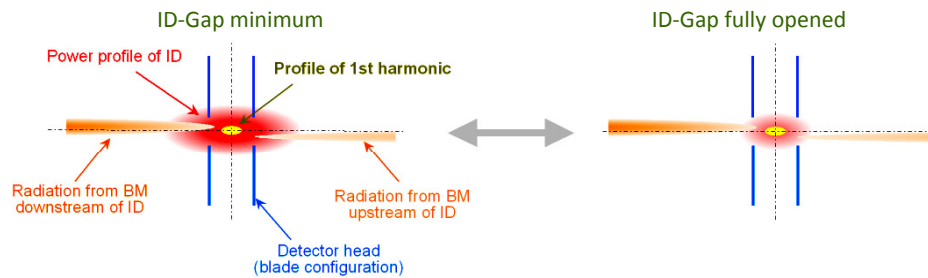
$\sim 100\mu\text{m}$  for Gap : 9.6 ~ 25 mm ,  $\sim 300\mu\text{m}$  for Gap : 9.6 ~ 50 mm

**The position of the beam at optics hutch is fixed for changing ID gap.**  
**What does the XBPM tell us ?**



Revised

## What does the XBPM tell us ?



### Origin of ID-gap dependence of XBPM:

-XBPM of photo-emission type has energy dependence.

Radiation from ID changes drastically, but not from BMs (backgrounds)

- Backgrounds are asymmetric and usually offset.

**1<sup>st</sup> harmonic:** 6 ~ 18 keV,

**Background:** < several keV near beam axis of ID

XBPM depends on ID-gap, filling pattern & ring current.

The results of XBPM can be compared with the same condition.

## Key issues of XBPM design

*for high power undulator radiation in SPring-8*



### 1. Dependence of ID gap, ring current, filling pattern

XBPM (photo-emission type) depends on these parameters.

### 2. High stability

XBPM has **stability of microns for a day**.

### 3. Resolution of x-ray beam position

- The resolution of **micron order** can be monitored.  
Beam divergences are  $\sim 20 / 5 \mu\text{rad}$  ( hor. / ver. ), which correspond to beam sizes of  $\sim 400 / 100 \mu\text{m}$  ( hor. / ver. ) at XBPM position (20 m from ID).

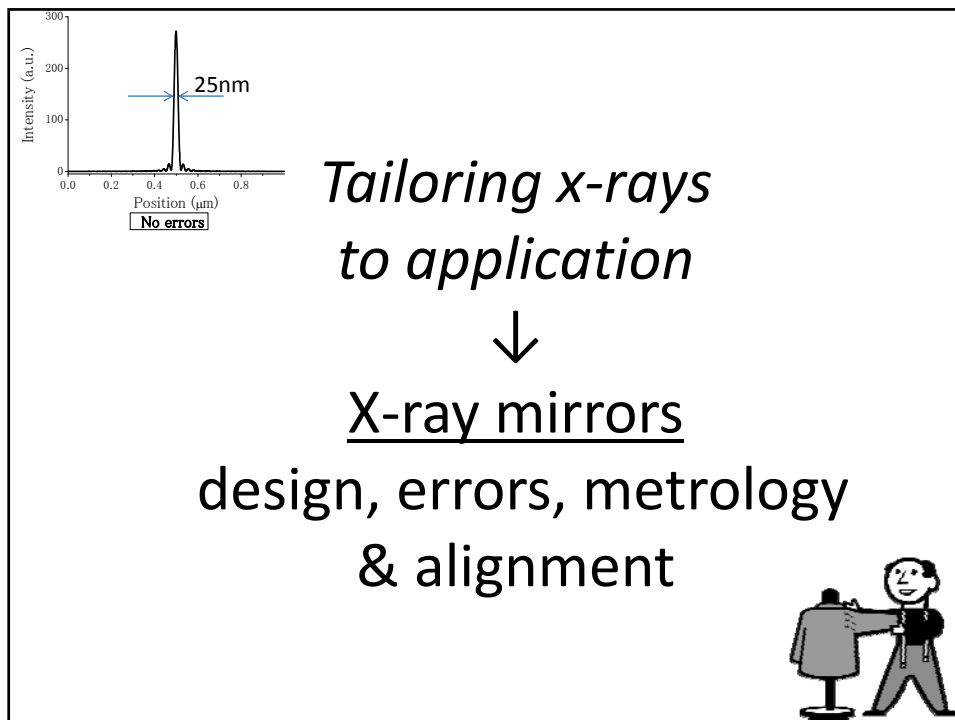
### 4. Withstand high heat Load

- Blade of diamond  
Max. power density is  **$\sim 500 \text{ kW/mrad}^2$** . Metal will melt immediately.

### 5. Fast Response

- Response time of **< 1 msec** needs for high frequency diagnostic.
- Simultaneous diagnostic over beamlines is important.

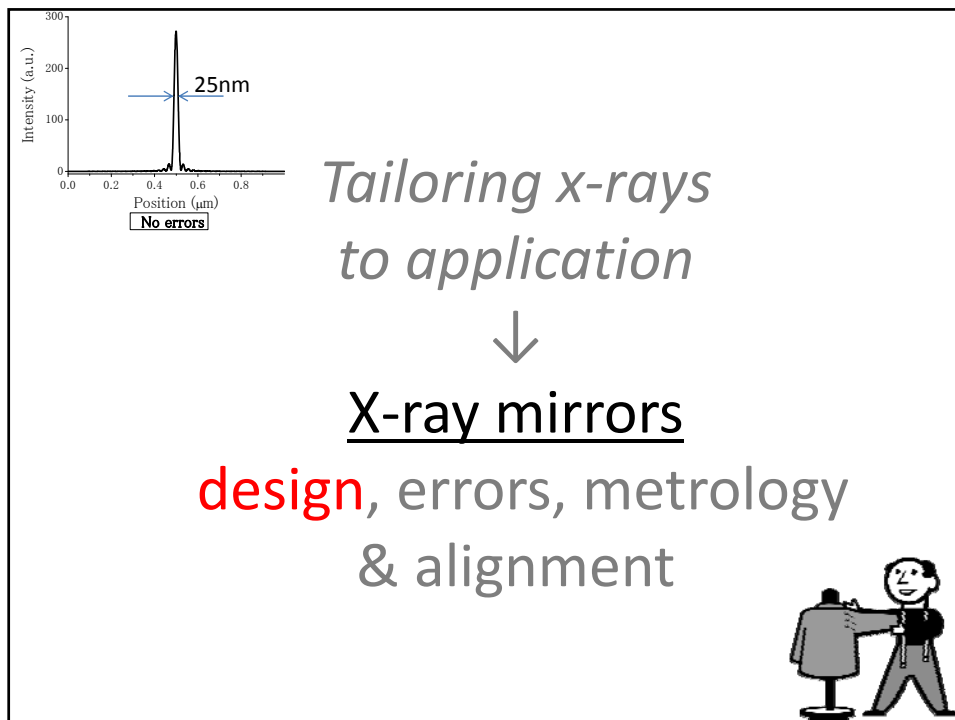
Ref. of XBPM : for example, H. Aoyagi et al., "High-speed and simultaneous photon beam diagnostic system using optical cables at SPring-8", AIP Conf.Proc.705-593 (2004).



## The functions of x-ray mirrors

- Deflecting
- Low pass filter
- Focusing
- Collimating
- Separation from  $\gamma$ -ray
- Branch / switch beamline
- Higher order suppression
- Micro- / nano- probe
- Imaging
- Energy resolution  
*w. multilayer or crystal mono.*





## Design parameters of x-ray mirror

### Requirement

**the beam properties both of incident and reflected x-rays**

( size, angular divergence / convergence, direction, energy region, power... )

*We have to know well what kinds beam irradiate on the mirror.*

### Design parameters

- Coating material : Rh, Pt, Ni ... ( w/o binder , Cr ), thickness  
: multilayers ( ML ), laterally graded ML
- Incident angle : grazing angle ( mrad )
- Surface shape : flat, sphere, cylinder, elliptic ...  
: adaptive (mechanically bent, bimorph )
- Substrate shape : rectangular, trapezoidal...
- Substrate size : length, thickness, width
- w/o cooling : indirect or direct, water or  $\text{LN}_2$ ...
- Substrate material: Si,  $\text{SiO}_2$ , SiC, Glidcop...

⇒ How to select

### In addition,

some errors such as figure error, roughness...

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### In addition,

some errors such as figure error, roughness...

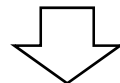
### Additional

#### How to select coating material and incident angle ?

Reflectivity for grazing incident mirrors

$$R(\lambda, \theta, n) = \left| \frac{k_1 - k_2}{k_1 + k_2} \right|^2$$

$$k_1 = \frac{2\pi}{\lambda} \cos \theta, k_2 = \frac{2\pi}{\lambda} \sqrt{n^2 - \cos^2 \theta}$$



The complex index of refraction

## Coating material (1)

### “the complex index of refraction”

The complex atomic scattering factor for the *forward scattering*

$$f = f_1 + if_2$$

The complex index of refraction

$$n = 1 - \delta - i\beta \quad E \propto e^{-i(\omega t - kr)}$$

$$\begin{cases} \delta = \frac{Nr_0\lambda^2}{2\pi} f_1(\lambda) \\ \beta = \frac{Nr_0\lambda^2}{2\pi} f_2(\lambda) \end{cases}$$

	$\delta (\times 10^{-5})$	$\beta (\times 10^{-7})$
Si	0.488	0.744
Quartz	0.555	2.33
Pt	3.26	20.7
Au	2.96	19.5

$$r_0 = \frac{e^2}{4\pi mc^2} = 2.82 \times 10^{-15} \text{ m}$$

$$\beta = \frac{\mu\lambda}{4\pi}$$

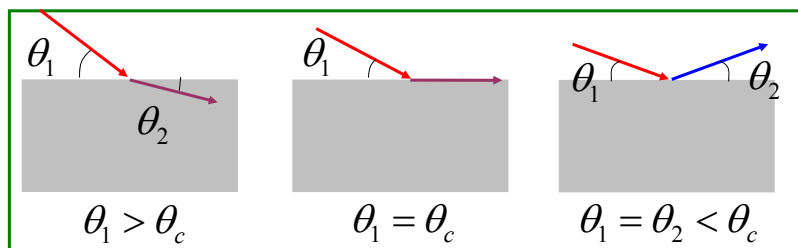
$\mu$ : linear absorption coefficient

N: Number of atoms per volume

## Coating material ( 2 )

### “total reflection”

$$n_1/n_2 = \cos(\theta_1)/\cos(\theta_2) \quad \text{Snell's law}$$



$$\cos(\theta_c) = n = 1 - \delta, \cos(\theta_c) \rightarrow 1 - \theta_c^2/2$$

$$\theta_c \cong \sqrt{2\delta} = 1.6 \times 10^{-2} \lambda \sqrt{\rho} = 20 \sqrt{\rho} / E$$

For example,

$\theta_c$  ( rad ),  $\rho$  ( g / cm<sup>3</sup> ),  $\lambda$  ( nm ),  $E$  ( eV )

Rh (  $\rho = 12.4 \text{ g / cm}^3$  )  $\lambda = 0.1 \text{ nm}$ ,  $\theta_c = 5.68 \text{ mrad}$



## Coating material ( 3 ) : “cut off, absorption”

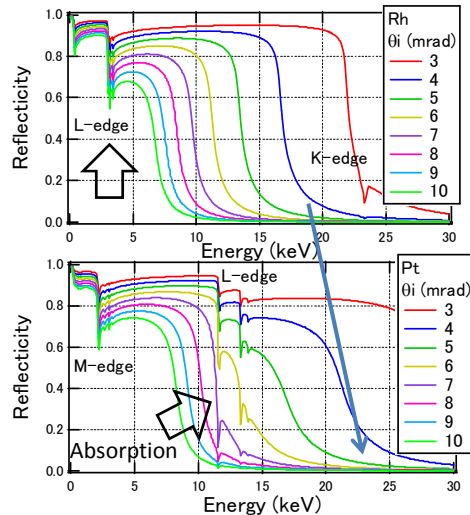
The cut off energy of total reflection  $E_c$

$$E_c \approx 20 \sqrt{\rho / \theta_i}$$

$E_c$  ( eV ),  $\rho$  ( g / cm<sup>3</sup> ),  $\theta_i$  ( mrad )

Rh ( 12.4 g / cm<sup>3</sup> )

Pt ( 21.4 g / cm<sup>3</sup> )



Cut off energy, absorption → incident angle

→ Opening of the mirror, length, width of mirror, power density

### Additional

## Atomic scattering factors, Reflectivity

You can easily find optical property in “X-Ray Data Booklet”  
by Center for X-ray Optics and Advanced Light Source,  
Lawrence Berkeley National Lab.

In the site the reflectivity of x-ray mirrors can be calculated.

<http://xdb.lbl.gov/>



**Many thanks to the authors !**

## Surface shape (1)

Purpose of the mirror

- deflecting
- low pass filter
- focusing
- collimate
- meridional
- sagittal

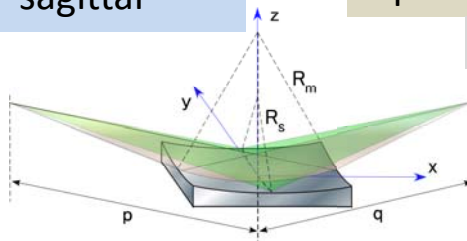
for example,

- flat
- spherical
- cylindrical
- toroidal
- elliptical
- parabolic...
- adaptive

Easy to make or cost



Take care of aberration

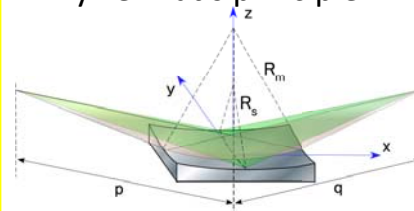


## Surface shape (2) radius and depth

$$R_m = \frac{2}{(1/p + 1/q) \sin(\theta_i)}$$

$$R_s = \frac{2 \sin(\theta_i)}{(1/p + 1/q)} = R_m \sin^2(\theta_i)$$

By Fermat's principle



For parallel beam  $q \rightarrow \infty, 1/q = 0$

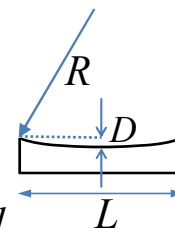
Depth at the center  $D = R - \sqrt{R^2 - \left(\frac{L}{2}\right)^2} \approx \frac{L^2}{8R}$

For example,

$p = 15 \sim 50m, q = 5 \sim 20m, \theta_i = 1 \sim 10mrad$

$R_m = 0.1 \sim 10 \text{ km}, R_s = 30 \sim 100 \text{ mm}$

$R = 1 \text{ km}, L = 1m \rightarrow D = 125 \mu m$

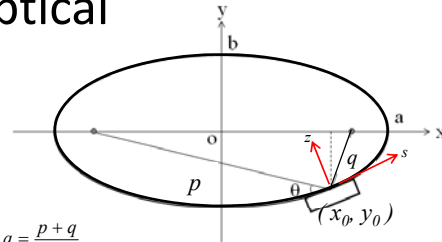
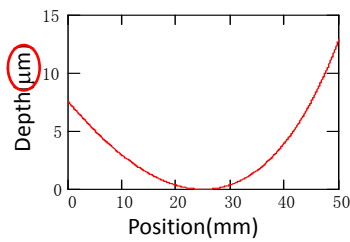


## Surface shape (3) elliptical

$$\frac{x^2}{a^2} + \frac{y^2}{b^2} = 1$$

For example,

$$p = 975 \text{ m}, q = 50 \text{ mm}, \theta = 3 \text{ mrad}$$



$$a = \frac{p+q}{2}$$

$$b = \frac{1}{2} \sqrt{2pq(1 - \cos(2\theta))}$$

$$x_0 = \frac{p^2 - q^2}{2\sqrt{p^2 + 2pq \cos(2\theta) + q^2}}$$

$$y_0 = -b \sqrt{1 - \frac{x_0^2}{a^2}}$$

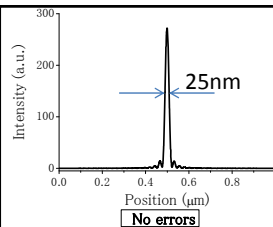
$$u = \frac{b}{a} \times x_0$$

$$z(s) = -\cos(u) \times b \sqrt{1 - \left( \frac{s \times \cos(u) + x_0}{a} \right)^2} + s \times \cos(u) \sin(-u)$$

Precise fabrication is difficult.

( Ref \* )

\* M.R Howells et al., "Theory and practice of elliptically bent X-ray mirrors", Optical Eng. **39**, 2748 (2000).



*Tailoring x-rays  
to application*



X-ray mirrors

design, **errors**, metrology  
& alignment



## *“An actual mirror has some errors.”*

*The tolerance should be specified to order the mirror*

- Roughness
- Density of coating material
- Radius error
- Figure error

- Reflectivity
- Beam size
- Distortion
- Deformation ...

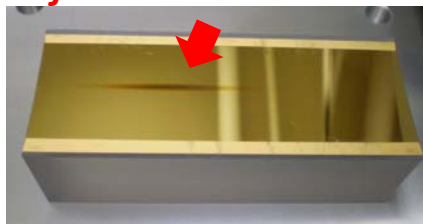
*The cost ( price and lead time) depends entirely on tolerance.  
We must consider or discuss how to measure it.*

- Deformation by self-weight, coating and support ...
  - Figure error of adaptive mechanism
  - Misalignment of mirror
  - Stability of mirror's position ( angle )
  - Deposition of contamination by use
  - Decomposition of substrate by use
- Environment
  - Stages
  - Cooling system ...

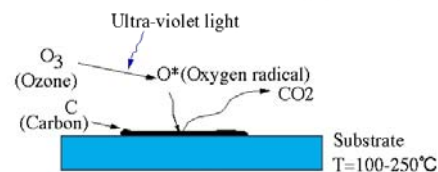
Additional

## Contamination and removal

**before**



**After cleaning**



Advantage of UV/ozone cleaning

1. Low Damage
2. Contamination-free
3. Non-contact

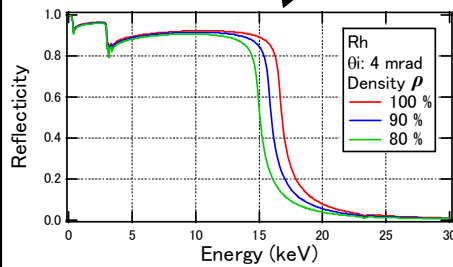
UV / ozone cleaning

*It takes from 10 min to a few hours.*

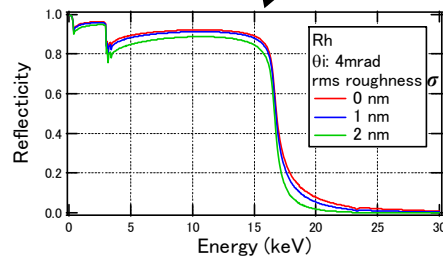
## Errors ( 1 )

*“Density  $\rho$  and surface roughness  $\sigma$ ”*

$$E_c \approx 20 \sqrt{\rho} / \theta_i$$



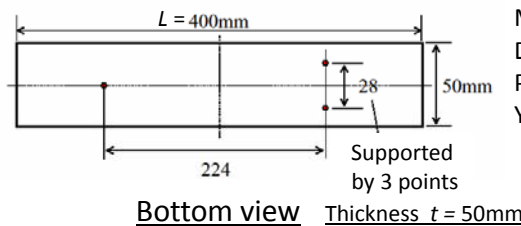
$$R = R_0 e^{-\left(\frac{4\pi\sigma \sin(\theta_i)}{\lambda}\right)^2}$$



Coating on sample wafer at the same time is helpful to evaluate the density and roughness.

## Errors ( 2 )

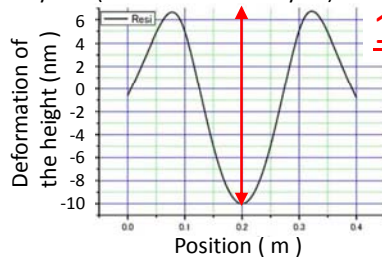
*“the self-weight deformation”*



Material:  $\text{SiO}_2$   
 Density:  $2.2 \text{ g / cm}^3$   
 Poisson's ratio:  $0.22$   
 Young's modulus:  $E = 70 \text{ GPa}$

$$D \propto \frac{L^4}{E \times t^3}$$

By FEA (finite element analysis)



**16.7 nm PV**

This value is larger than figure error by Rayleigh's rule. (→See next page)

**Improvement for nano-focusing**

- a) Substrate  $\rightarrow \text{Si}$  ( $E \sim 190 \text{ GPa}$ )
- b) Optimization of supporting points and method
- c) Figuring in consideration of the deformation



## Errors (3a)

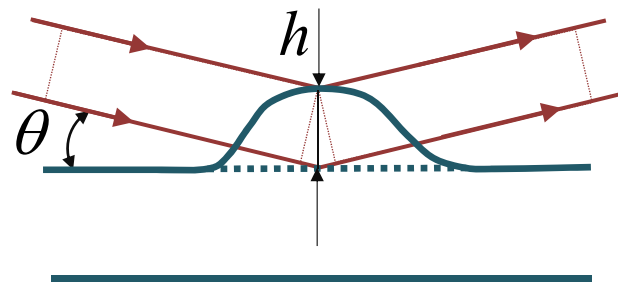
*"figure error estimated by Rayleigh's rule"*

$$\phi = 2hk \sin(\theta) \rightarrow \pi/2 \quad h_{\lambda/4} = \lambda/8\theta$$

0.06nm (20keV)    3mrad    2nm

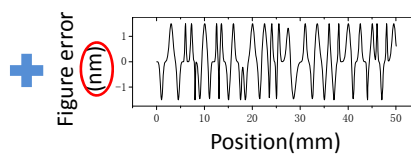
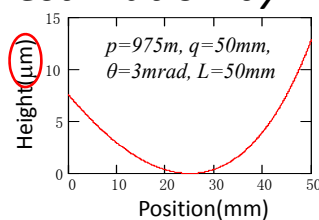
0.08nm (15keV)    3mrad    3nm

1 nm ( 1keV) 10mrad    12nm



## Errors (3b)

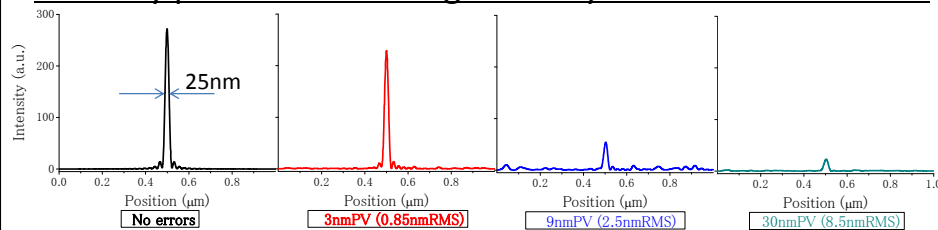
*" estimation by wavefront simulation "*



Designed surface

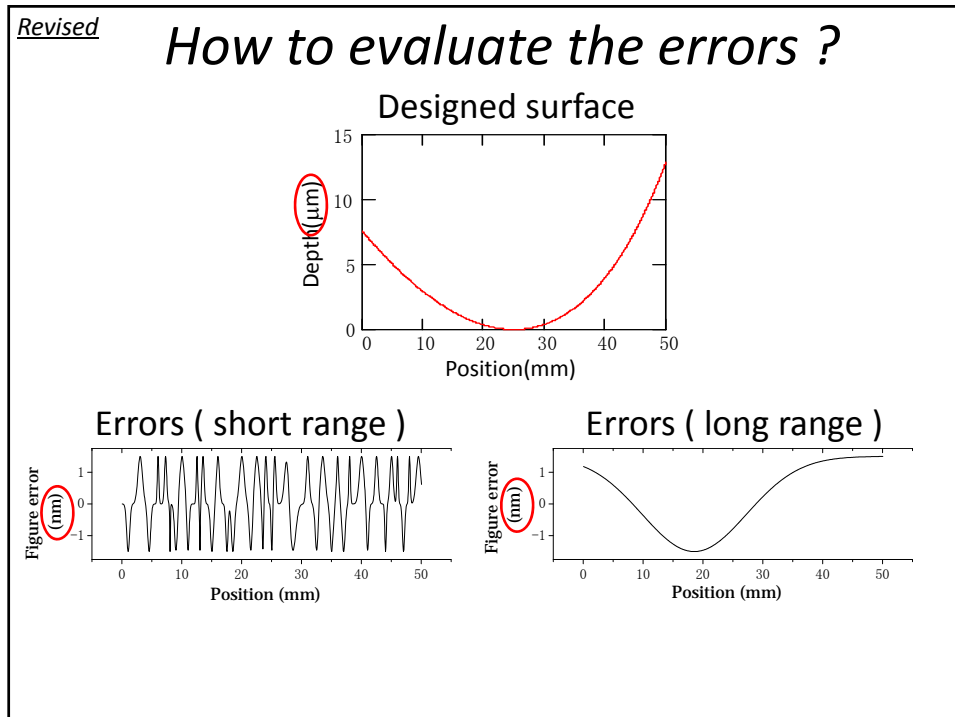
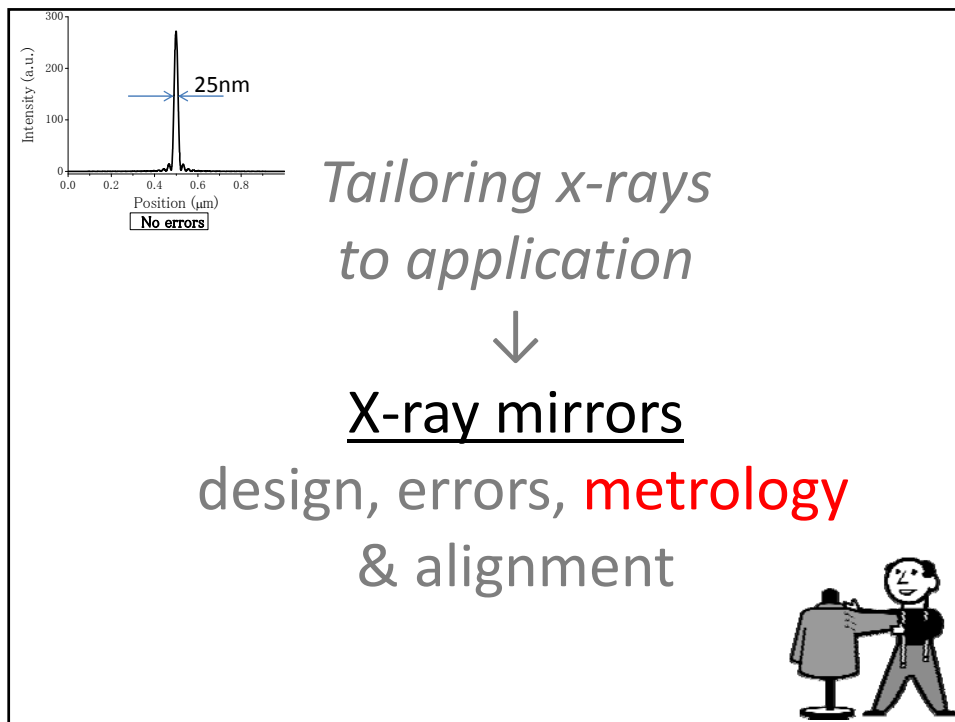
Errors of **short** range order

Intensity profiles of focusing beam by wavefront simulation







Errors of short range order *decreases intensity*. → Roughness





# Metrology instruments for x-ray optics

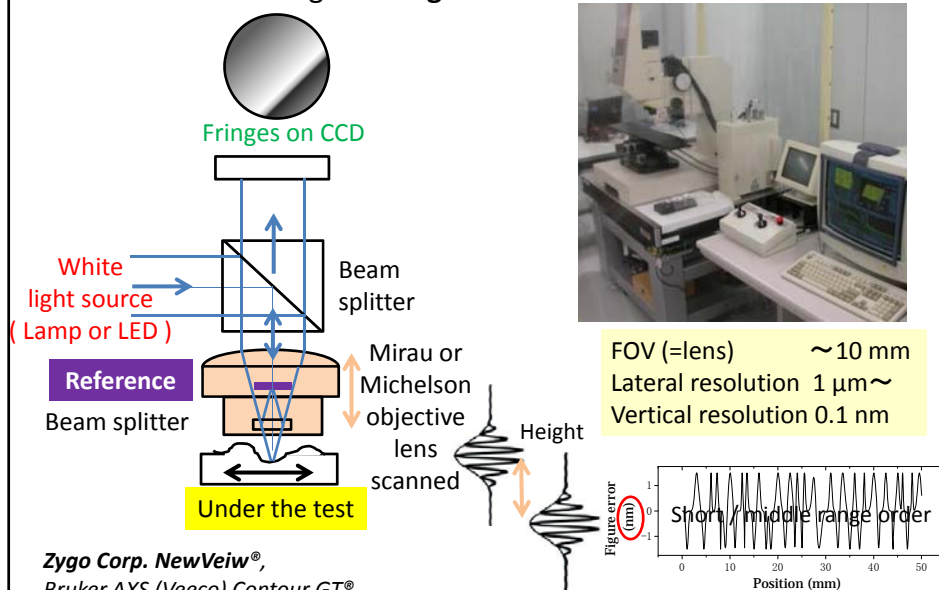
## Field of view, lateral resolution

	Short / middle	Long / middle	Long / middle
Short ~10 $\mu\text{m}$ , 0.1 nm Roughness	~10 mm, 1 $\mu\text{m}$ Roughness, figure	~0.1 m, 0.1 mm Figure	~1m, 1 mm Slope
			
Scanning probe microscope	Scanning white light interferometer	Fizeau interferometer	Long Trace Profiler ( LTP )
z (0.1nm)	z (0.1nm)	z (0.1nm)	slope (0.1urad)
	Vertical resolution (rms)		

## Revised Scanning white light interferometer

Interference fringe  $\rightarrow$  Height

Commercially available



Revised

## Fizeau interferometer

Interference pattern → Height

Commercially available

**Monochromatic point light source**

**Zygo Corp. VeriFire®,  
4DS technologies,  
FujiFILM .....**

Beam splitter

Collimator

Reference

Cavity

Under the test

**Fizeau fringes on CCD**

FOV (=reference) ~0.1 m  
Lateral resolution ~0.1 mm  
Vertical resolution 0.1 nm

Figure error (nm)

Position (mm)

Long / middle range order

**Not easy to measure large mirror**

## Long trace profiler ( LTP )

Homemade

Direction of laser reflected on the surface → Slope

**Slope**

$$Z' = \frac{d}{2F}$$

$d$  :  $\mu\text{m}$   
 $F$  :  $1\text{m}$   
 $Z' < \text{sub-}\mu\text{rad}$

**Scanning penta prism**

RP1

RP2

BS

1/2 WP

1/4 WP1

1/4 WP2

PBS

fθ lens

Detector

REF REF for monitoring stability of system

**Under the test**

**FOV (=stage) ~2 m**  
Lateral resolution mm~  
Vertical resolution 0.1 μrad

**Light source (Laser)**

**Position d on CCD or line sensor**

**Easy to measure slope of sub-μrad on large mirror by NO reference**  
Many kinds of LTPs are developing among SR facilities.

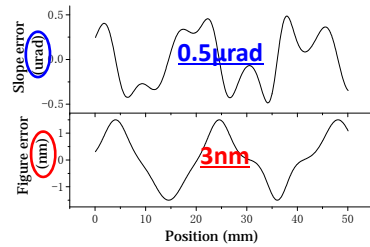
For example, S. Qian, G. Sostero and P. Z. Takacs, Opt. Eng. **39**, 304-310 (2000).



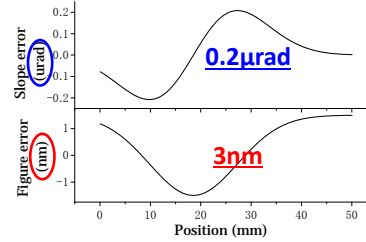
Additional

## Figure error and slope error

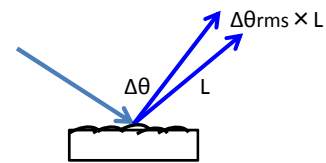
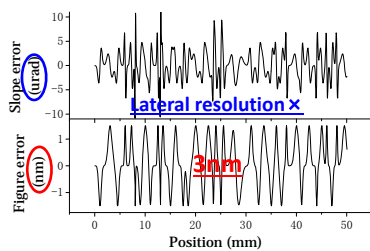
Errors ( middle range )



Errors ( long range )

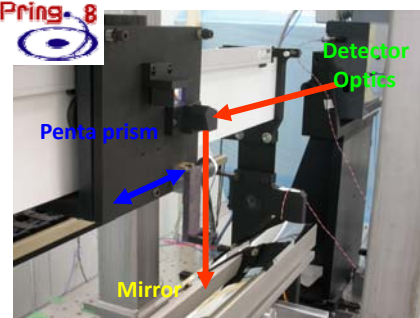
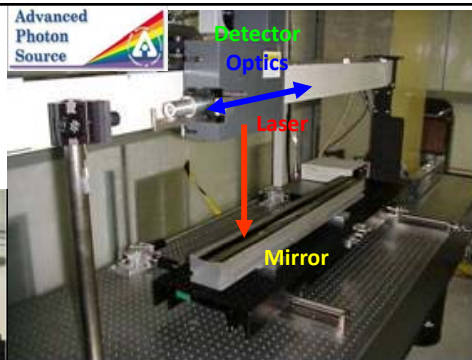
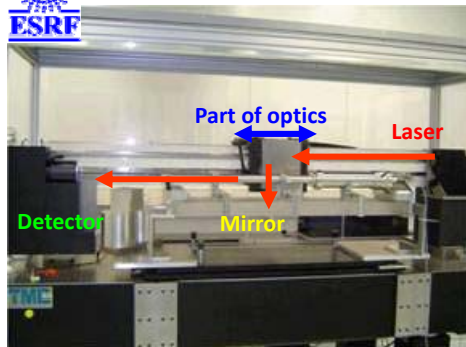


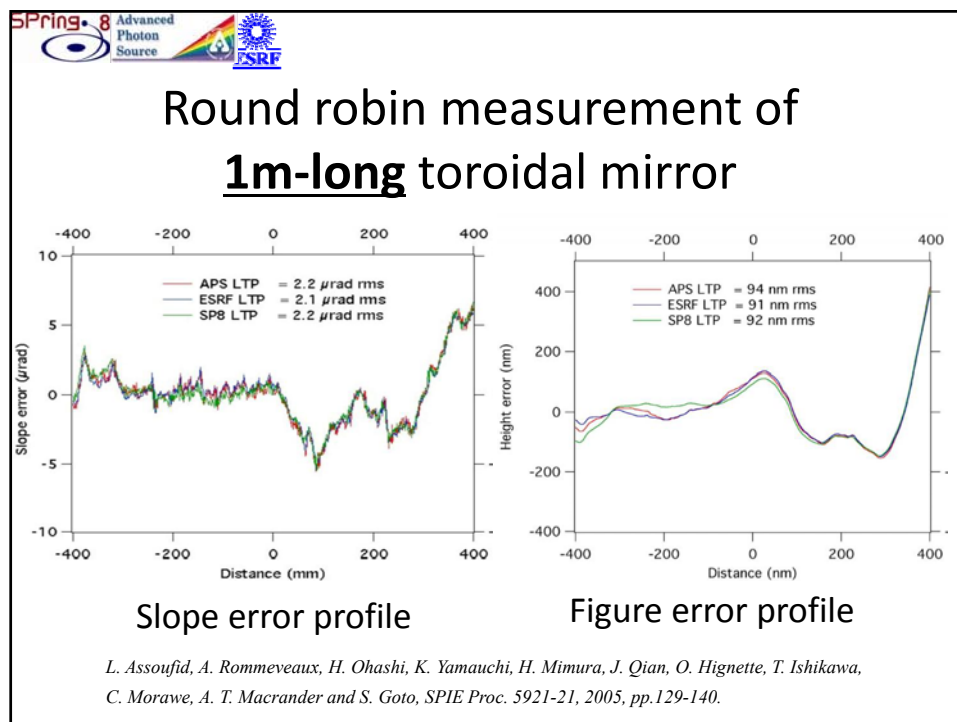
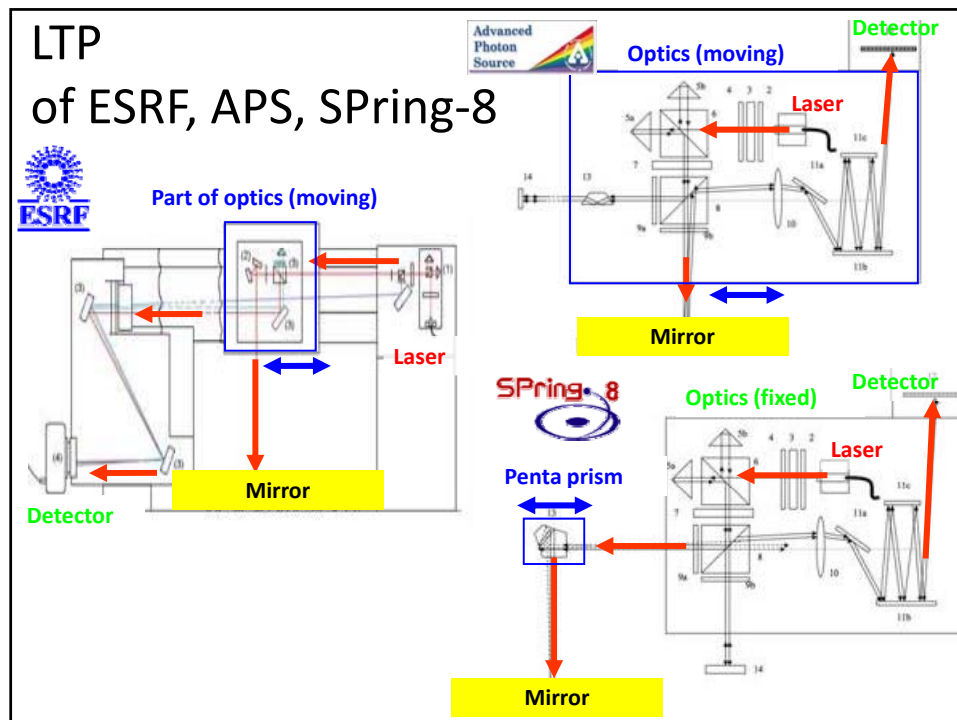
Errors ( short range )



LTP :  
 Lateral resolution mm~  
 Vertical resolution 0.1 μrad

LTP  
 of ESRF, APS, SPring-8

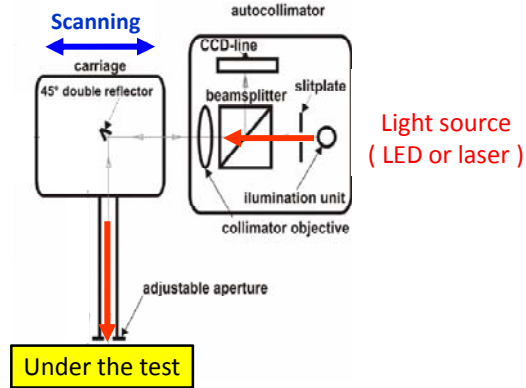




## Nanometer Optical Component Measuring Machine (NOM) @HZB

Autocollimator → **Slope**

Homemade



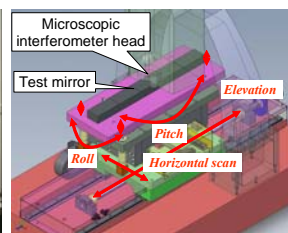
*F. Siewert et al.: „The Nanometer Optic Component Measuring Machine: a new Sub-nm Topography“ SRI 2003, AIP Conf. Proc.*

## Stitching interferometer for large mirror

Homemade

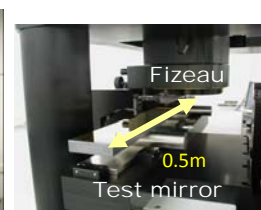
**MSI**

( micro-stitching interferometer )



**RADSI**

( relative angle determinable stitching interferometer )

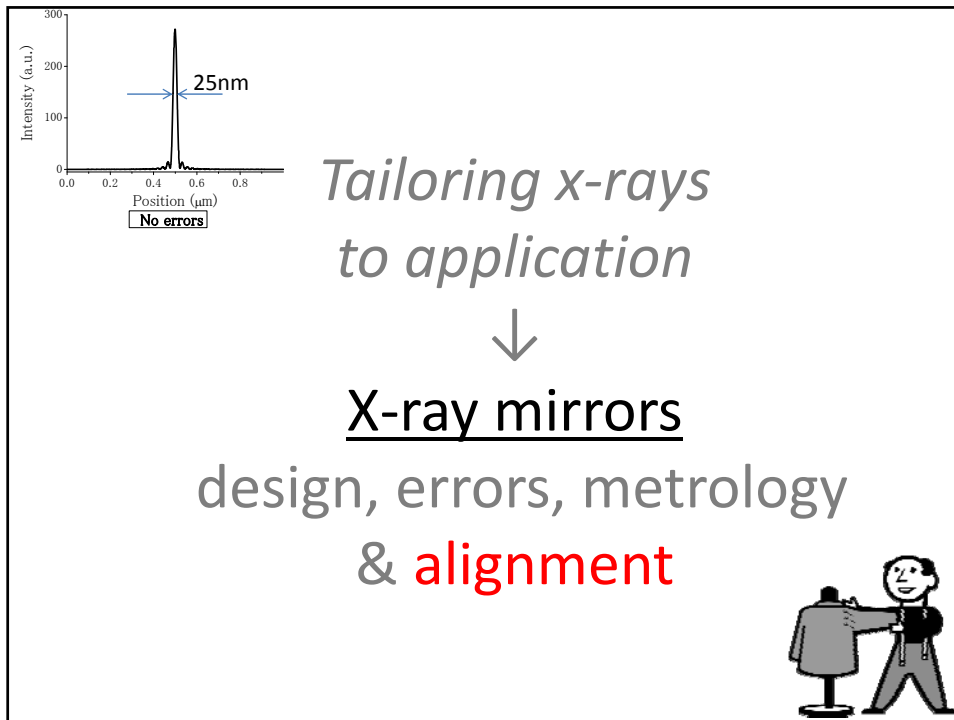


Collaboration with Osaka Univ., JTEC and SPring-8

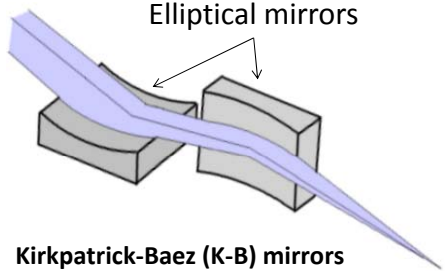
H. Ohashi et al., Proc. Of SPIE **6704**, 670405-1 (2007).

*Height error of wide range order for a long and aspherical mirror with 1 $\mu$ m of lateral and 0.1 nm of vertical resolution.*

*Necessity is the mother of invention.*



## Introduction of KB mirrors



**Kirkpatrick-Baez (K-B) mirrors**

In 1948, P. Kirkpatrick and A. V. Baez proposed the focusing optical system.

P. Kirkpatrick and A. V. Baez, "Formation of Optical Images by X-Rays", J. Opt. Soc. Am. **38**, 766 (1948).

**Advantages**

- Large acceptable aperture and High efficiency
- No chromatic aberration
- Long working distance

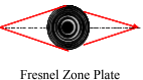
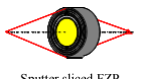
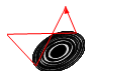
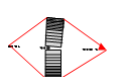
**Disadvantages**


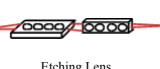
- Difficulty in mirror alignments
- Difficulty in mirror fabrications
- Large system



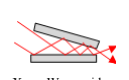
}

*Suitable for  
x-ray  
nano-probe*

## Overview of x-ray focusing devices

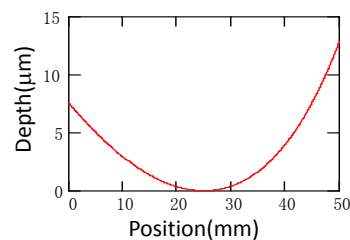
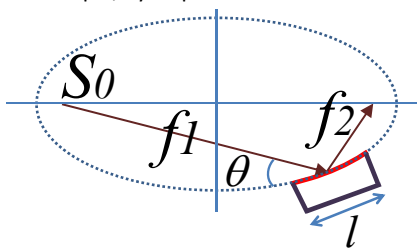
Diffraction	focus size, focal length [energy]	energy range	aberration -coma -chromatic -figure error
 Fresnel Zone Plate	12 nm, $f = 0.16$ mm [0.7 keV], 30 nm, $f = 8$ cm [8 keV]	soft x-ray hard x-ray	-coma small -chromatic exist -figure error small
 Sputter sliced FZP	0.3 $\mu$ m, $f = 22$ cm [12.4 keV], 0.5 $\mu$ m, $f = 90$ cm [100 keV]	8-100 keV	-coma small -chromatic exist -figure error large $\rightarrow$ small
 Bragg FZP	2.4 $\mu$ m, $f = 70$ cm [13.3 keV]	mainly hard x-ray	-coma small -chromatic exist -figure error small
 Multilayer Laue Lens	16 nm(1D), $f = 2.6$ mm [19.5 keV], 25nm $\times$ 40nm, $f = 2.6$ mm, 4.7mm [19.5 keV]	mainly hard x-ray	-coma large -chromatic exist -figure error small

Refraction	focus size, focal length [energy]	energy range	aberration -coma -chromatic -figure error
 Pressed Lens	1.5 $\mu$ m, $f = 80$ cm [18.4 keV], 1.6 $\mu$ m, $f = 1.3$ m [15 keV]	mainly hard x-ray	-coma small -chromatic exist -figure error large
 Etching Lens	47nm $\times$ 55nm, $f = 1$ cm, 2cm [21 keV]	mainly hard x-ray	-coma small -chromatic exist -figure error small

Reflection	focus size, focal length [energy]	energy range	aberration -coma -chromatic -figure error
 Kirkpatrick-Baez Mirror	36nm $\times$ 48nm, $f = 15$ cm, 25cm [15 keV], 7 nm(1D), $f = 7.5$ cm [20 keV]	soft x-ray hard x-ray	-coma large -chromatic not exist -figure error small
 Wolter Mirror	0.7 $\mu$ m, $f = 35$ cm [9 keV]	<10 keV	-coma small -chromatic not exist -figure error large
 X-ray Waveguide	95 nm, [10 keV]	soft x-ray hard x-ray	-coma large -chromatic not exist -figure error large

## How small is x-ray focused ?

For example, by elliptical mirror



Geometrical size

$$d_G = \frac{f_2}{f_1} \times S_0$$

Diffraction limited size(FWHM)

$$d_{DL} = \lambda \times \frac{0.88 f_2}{l \sin(\theta)}$$

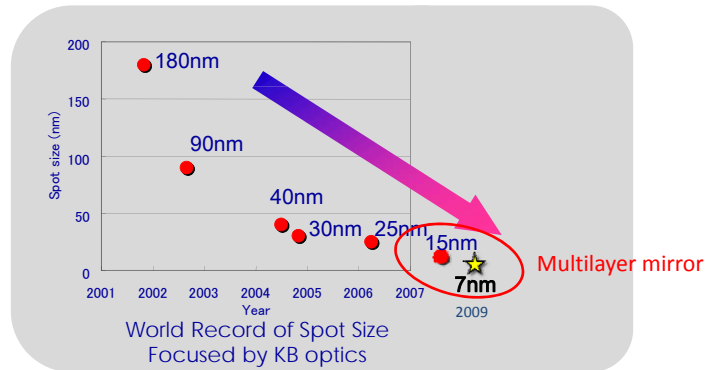
$$f_1 = 975 \text{ m}, f_2 = 50 \text{ mm}, \theta = 3 \text{ mrad}, l = 50 \text{ mm}, \lambda = 0.083 \text{ nm}, S_0 = 100 \mu\text{m}$$

$$\text{Mag.} = 1 / 19500$$

$$d_G = 5 \text{ nm} < d_{DL} = 25 \text{ nm}$$

The opening of the mirror restricts the focused size even if magnification is large.

## Nano-focusing by KB mirror History since the century

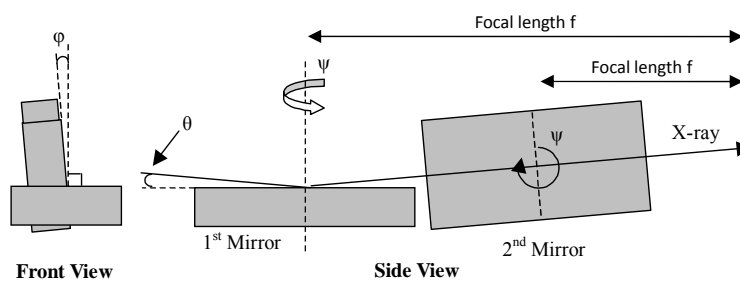


World Record of spot size is **7 nm** (by Osaka Univ., in 2009 \*).

Routinely obtained spot size is up to **30 nm**.

Ref \* : H. Mimura et al., "Breaking the 10 nm barrier in hard-X-ray focusing", Nature Physics **6**, 122 (2010).

## Difficulty in mirror alignments



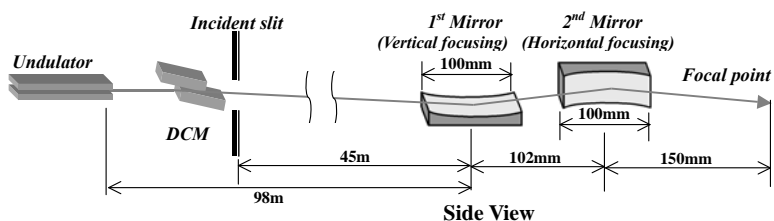
Positioning two mirrors is difficult  
because there are at least 7 degree of freedom.



It is difficult to use KB mirrors.



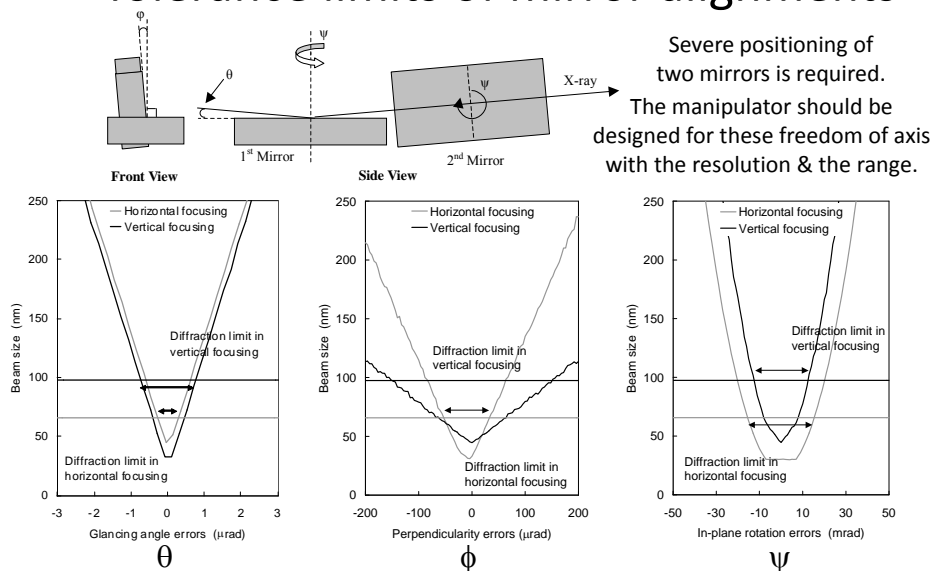
## KB optics installed in BL29XU-L



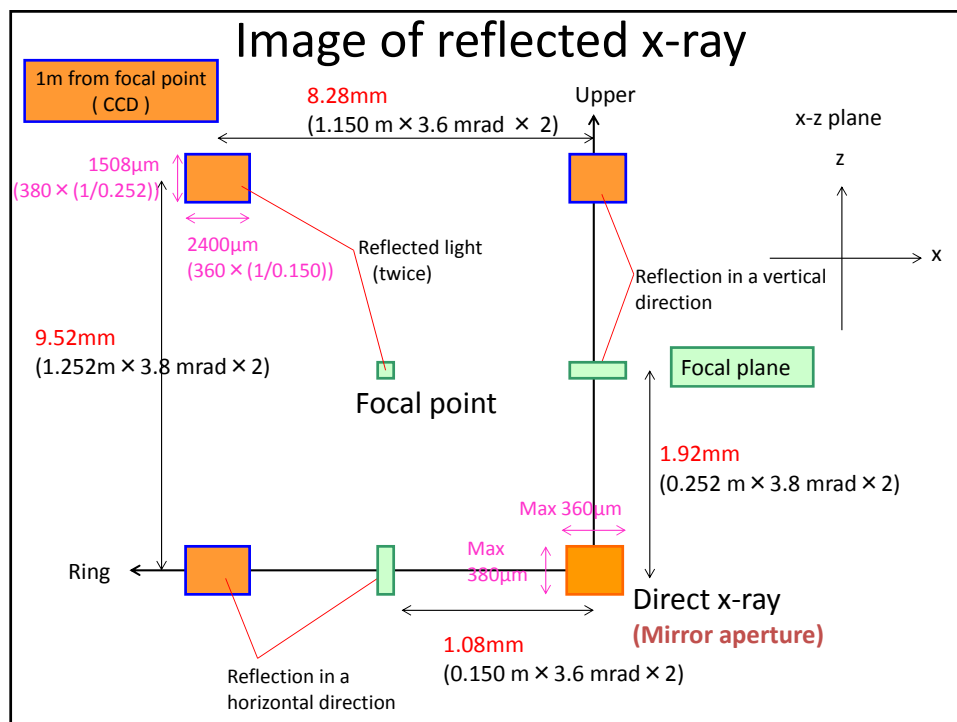
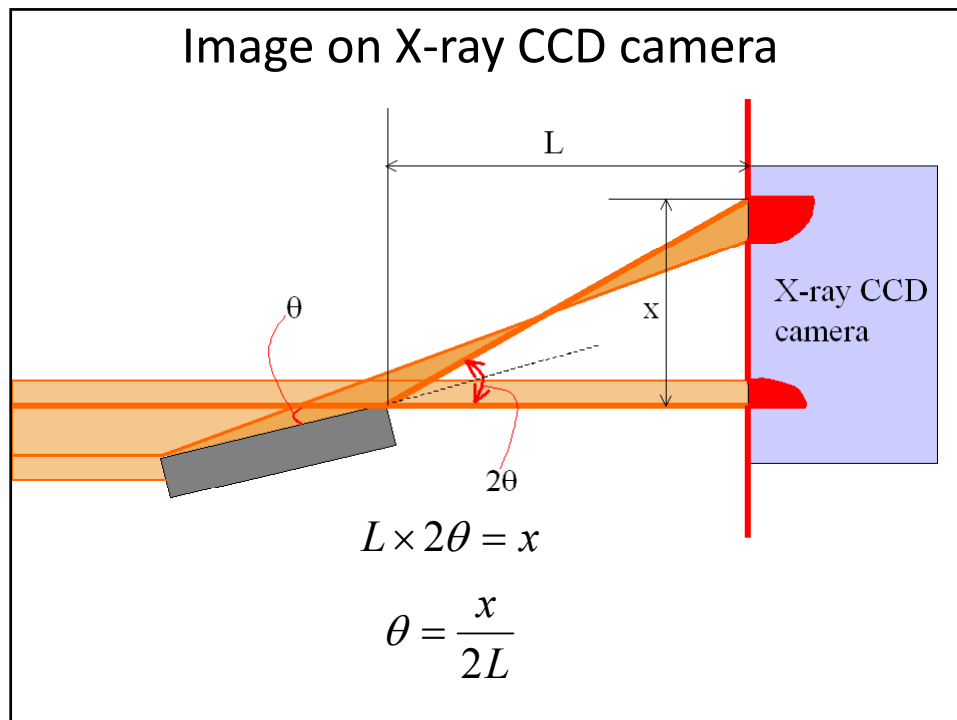
	1 <sup>st</sup> Mirror	2 <sup>nd</sup> Mirror
Glancing angle (mrad)	3.80	3.60
Mirror length (mm)	100	100
Mirror aperture ( $\mu\text{m}$ )	382	365
Focal length (mm)	252	150
Demagnification	189	318
Numerical aperture	$0.75 \times 10^{-3}$	$1.20 \times 10^{-3}$
Coefficient $a$ of elliptic function (mm)	$23.876 \times 10^3$	$23.825 \times 10^3$
Coefficient $b$ of elliptic function (mm)	13.147	9.609
Diffraction limited focal size (nm, FWHM)	48	29

Ref :H. Mimura, H. Yumoto, K. Yamauchi et.al, Appl. Phys. Lett. **90**, 051903 (2007).

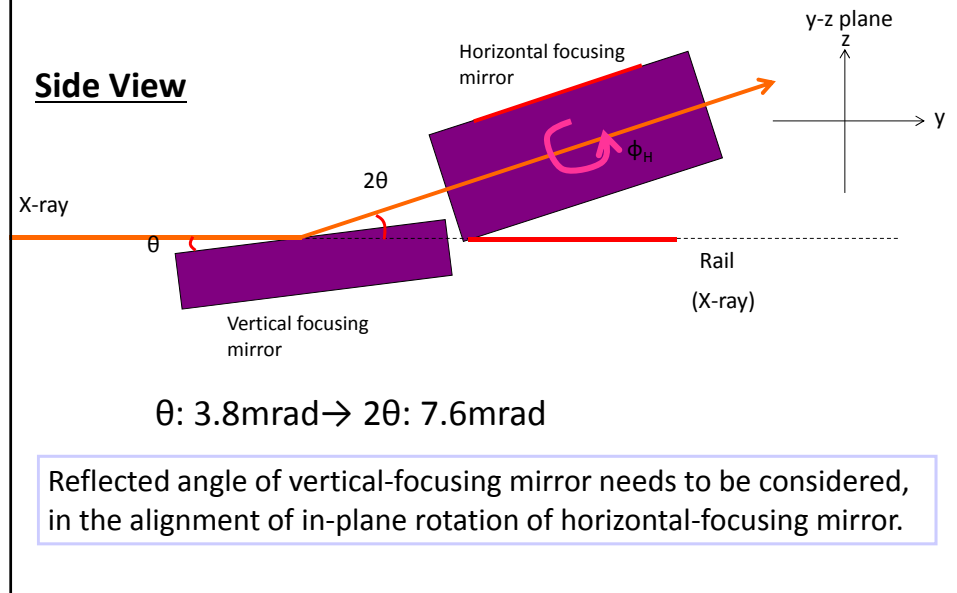
## Revised Tolerance limits of mirror alignments



Ref: S. Matsuyama, H. Mimura, H. Yumoto et al., "Development of mirror manipulator for hard-x-ray nanofocusing at sub-50-nm level", Rev. Sci. Instrum. **77**, 093107 (2006).



## Alignment of in-plane rotation (Horizontal focusing mirror)



## Alignment of incident angle

- **Foucault test**

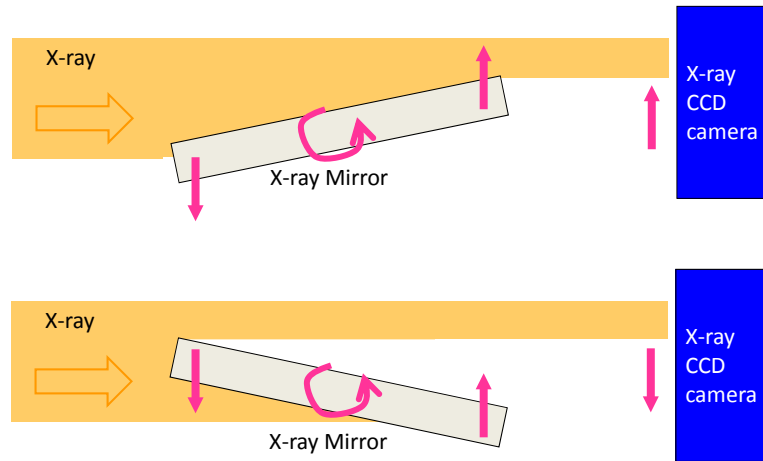
**Rough** assessment of focusing beam profile.  
This method is used for *seeking focal point*.

- **Wire (Knife-edge) scan method**

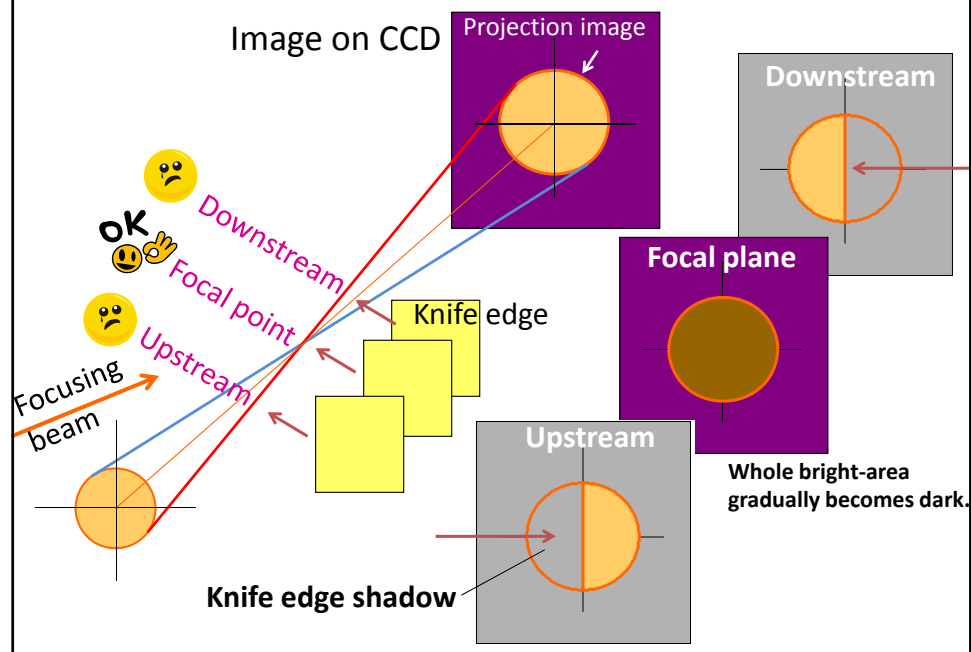
**Final** assessment of *focusing beam profile*.

*Precise adjustment of the glancing angle and focal distance is performed until the best focusing is achieved, while monitoring the intensity profile.*

## Alignment of incident angle

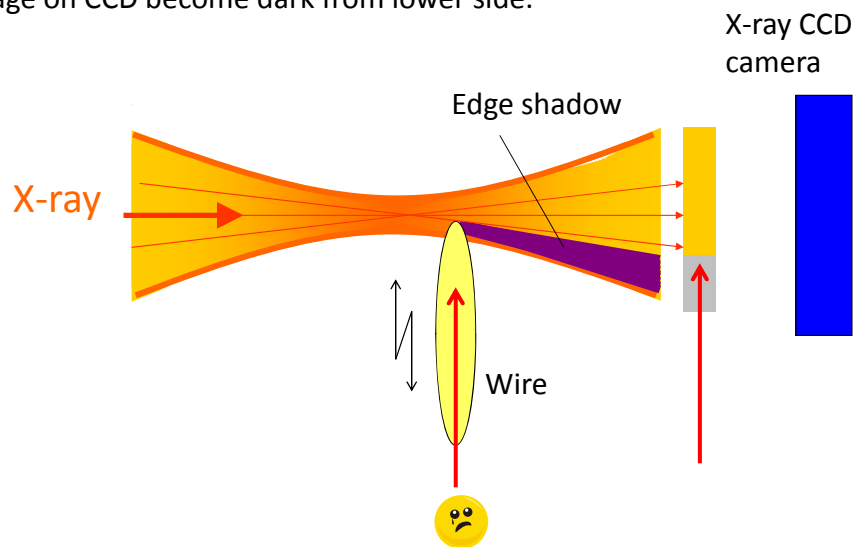


## Foucault test



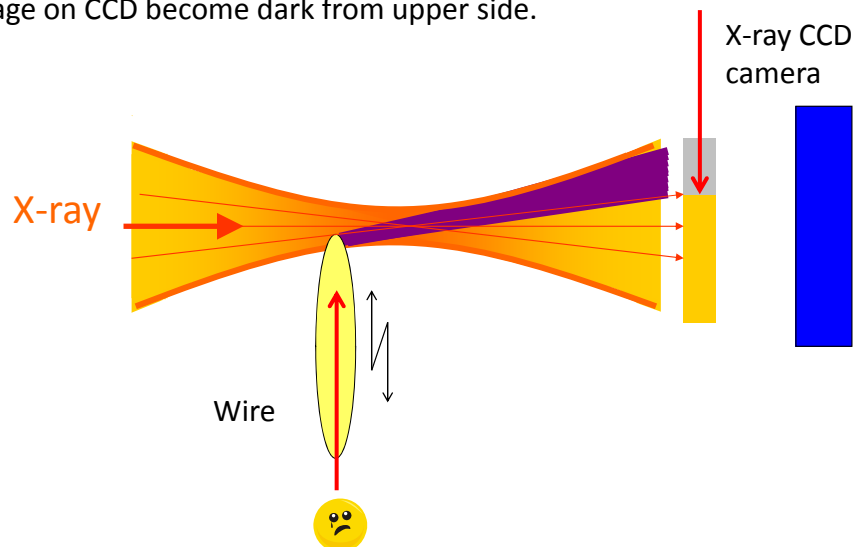
## Foucault test 1

Wire is at downstream of focal point.  
Image on CCD become dark from lower side.



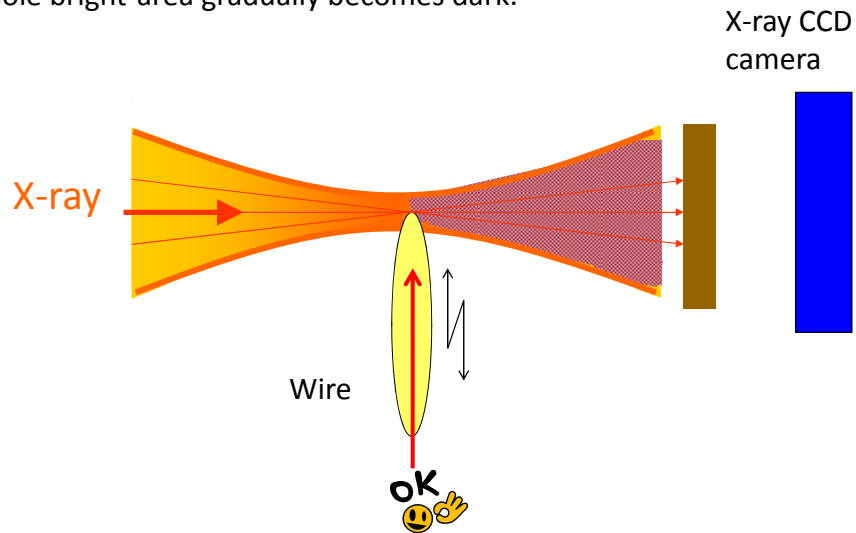
## Foucault test 2

Wire is at upstream of focal point.  
Image on CCD become dark from upper side.

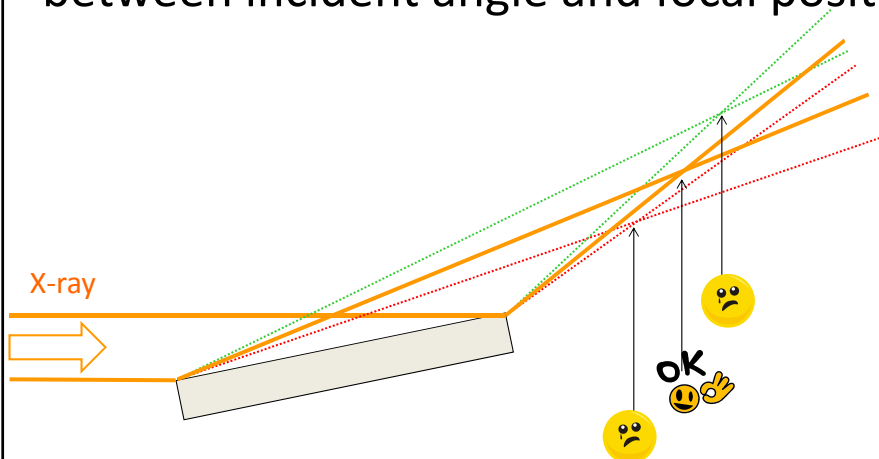


### Foucault test 3

Wire is at the focal point.  
Whole bright-area gradually becomes dark.



### Relationship between incident angle and focal position

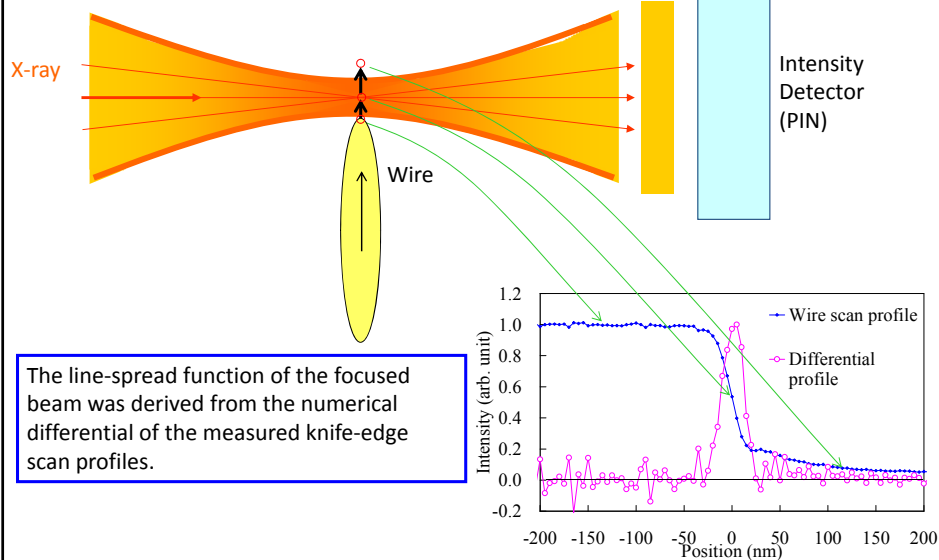


Incident angle → Large ⇒ Focal point → downstream

Incident angle → Small ⇒ Focal point → upstream

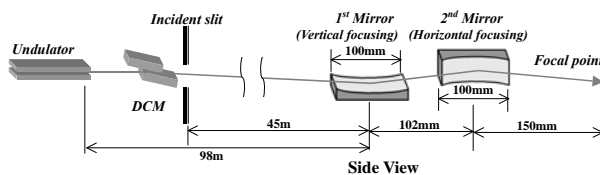
## Wire (Knife-edge) scan method for measuring beam profiles

The sharp knife edge is scanned across the beam axis, and the total intensity of the transmitting beam is recorded along the edge position.



## Relationship between Beam size and Source size

Beam size changes depending on source size (or virtual source size).



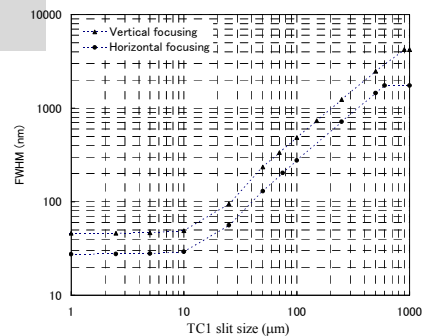
Beam size = Source size / M (M: demagnification)

AND

Beam size  $\geq$  Diffraction limit

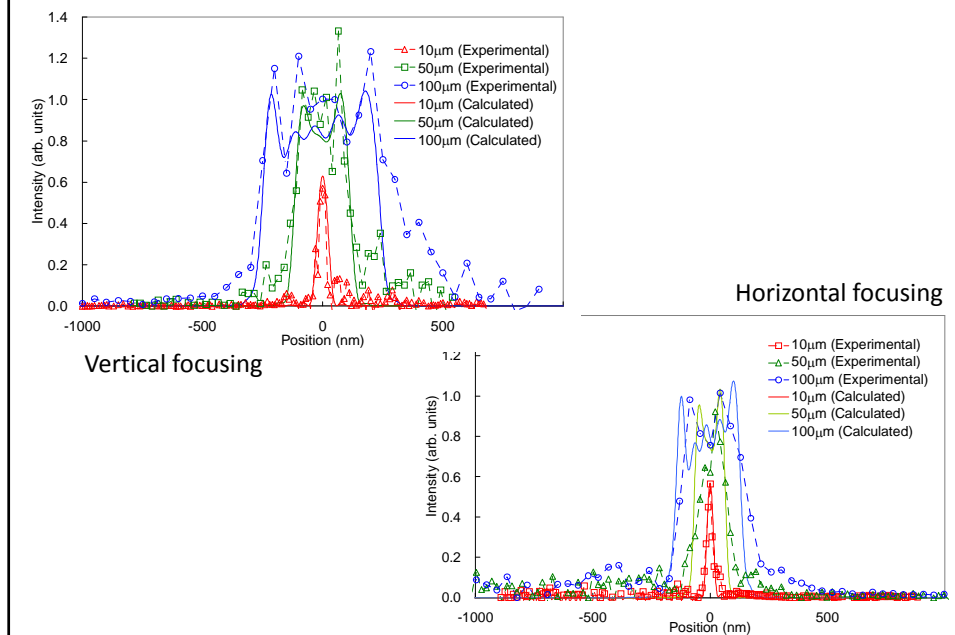


Beam size is selectable  
for each application.

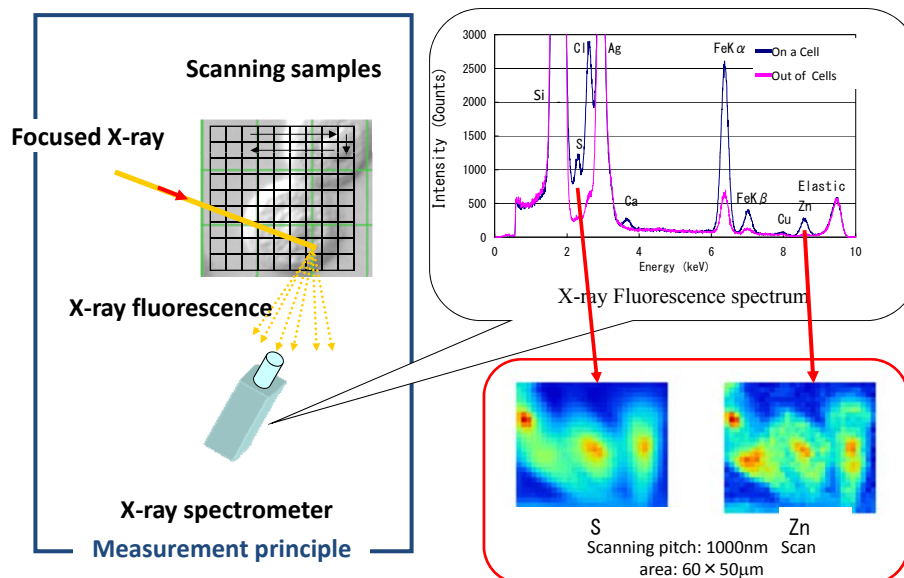




## Relationship between Beam size and Source size



## Scanning X-ray Fluorescence Microscope: SXFM



Ref: M. Shimura et al., "Element array by scanning X-ray fluorescence microscopy after cis-diamminedichloro-platinum(II) treatment", Cancer research **65**, 4998 (2005).

## Key issues of x-ray mirror design

1. *To select the functions of x-ray mirror*  
Deflecting, low pass filtering, focusing and collimating → Shape of the mirror
2. *To specify the incident and reflected beam properties*  
Energy range , flux  
→ absorption, cut off energy → coating material → incident angle  
The beam size and the power of incident beam  
→ opening of the mirror, incident angle  
→ absorbed power density on the mirror → w/o cooling, substrate  
Angular divergence / convergence, the reflected beam size  
→ incident angle, position of the mirror ( source, image to mirror )  
Direction of the beam  
→ effect of polarization, self-weight deformation
4. *To specify the tolerance of designed parameters*  
Roughness, density of coating material, radius error, figure error  
*The cost ( price and lead time ) depends entirely on the tolerance.*
5. *To consider the alignment*  
The freedom, resolution and range of the manipulator



## Key issues for the beamline design

### Key issues

*Which application is the most important at the BL?*

*Can you specify who uses the property at the BL ?*

- Photon energy, energy resolution
- Flux, flux density *The higher, the better ?*
- Beam size *The smaller, the better ?*
- Polarization *More is NOT always better !*
- Spatial coherence *Simplify the property.*
- Time resolution *Get your priorities right.*

- Time schedule
- Human resources
- Available **budget**, space, technical level
- Maintenance for keeping performance
- Lifetime of the BL ( hardware and application )

**What to include or not ?**  
**What to develop or not ?**

### Design components

- End station ( pressure, temperature, magnetic field... )
- Sample environment ( pressure, temperature, magnetic field... )
- Detector, data processing ... ( automation )

- Light source (ID, BM)
  - Monochromator, higher order suppression...
  - Focusing devices...
  - Polarizer...
  - Window...
  - RF timing, chopper...
- Stability enough to measure*

- Radiation shielding hutch ...
  - Interlock system
  - Beam shutter...
  - Absorber, FE slit
  - Cooling method, cooling system
  - Selection of light sources ( power, angular dist. )
  - Electronics in hutch ( detector, controller ... )
  - Embrittlement ( cable, tube )
  - Contamination on optics
- Safety first !*

- Electricity, water, air, network, control
- Environments ( temperature, vibration... )

*Simple and clear design to accelerate your research*

## Ongoing x-ray beamline

*X-ray beamline looks complicated, but the function of each component is simple.  
To specify the beam properties is to design the beamline.*

*New x-ray beamline for next generation light source such as XFEL is newly constructed.  
The components for heat management, x-ray beam monitors and x-ray optics  
including metrology are newly developed to perform the beam properties.*

### Challenges at XFEL beamline :

*coherence preservation  
wavefront disturbance or control  
at wavelength technique  
ultra-short & high intense pulse  
high stability  
shot-by-shot diagnosis of x-rays  
timing control of x-ray pulse  
synchronization with other source ...*

## Acknowledgment

*S. Takahashi ( front end ), H. Aoyagi (XBPM),  
T. Matsushita ( interlock ),  
H. Takano, Y. Kohmura, T. Koyama, ( focusing devices )  
T. Uruga, Y. Senba ( mirrors ),  
S. Matsuyama, H. Yumoto, H. Mimura, K. Yamauchi  
( ultimate focusing mirror, alignment ),  
S. Goto and T. Ishikawa*

*Thank you for your kind attention.*

***Enjoy Cheiron school  
Enjoy SPring-8  
and  
Enjoy Japan!***