

Small-Angle X-ray Scattering

Basics & Applications

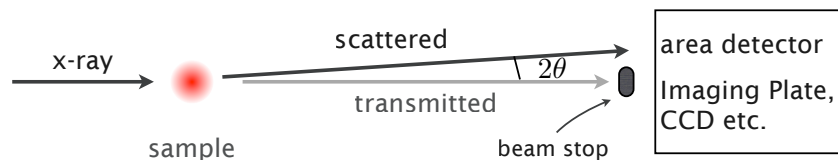
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Graduate School of Frontier Sciences,
The University of Tokyo

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 - Microbeam, GI-SAXS, USAXS, XPCS etc...

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What's Small-Angle X-ray Scattering ?



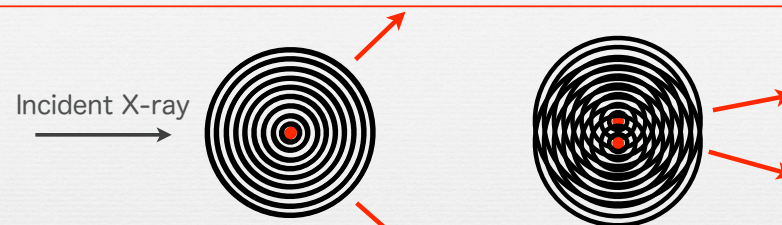
$$\text{Bragg's law: } \lambda = 2d \sin \theta$$

small angle → large structure
(1 – 100 nm)

crystalline sample --> small-angle X-ray diffraction: SAXD
solution scattering / inhomogeneous structure --> SAXS

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Interference of secondary waves



Each electron in materials vibrates and emits secondary spherical wave

When there are two electrons.

- interference between secondary waves from electrons
- when a distance between electrons is small
--> scattering at large angle is intensified
- when a distance between electrons is large
--> scattering at small angle is intensified --> **SAXS**



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History of SAXS (< 1936)

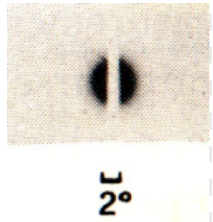
Krishnamurty (1930)

Hendricks (1932)

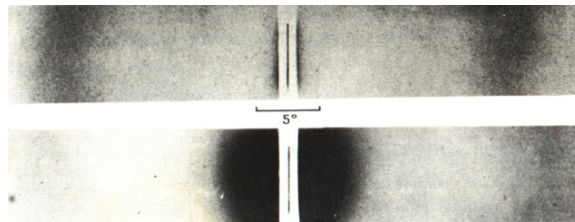
Mark (1932)

Warren (1936)

Observation of scattering
from powders, fibers, and colloidal
dispersions



carbon black



Molten silica - silica gel

courtesy to Dr. I.L.Torriani 5

History (> 1936)

[A. Guinier](#) (1937, 1939, 1943)

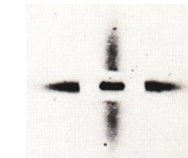
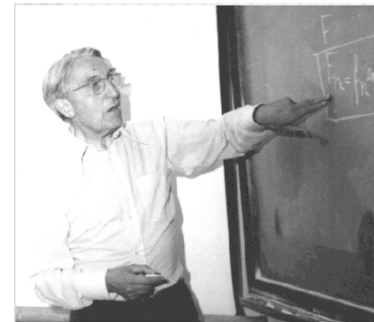
Interpretation of inhomogeneities in Al alloys
"G-P zones", introducing the concept of "particle
scattering" and formalism necessary to solve
the problem of a diluted system of particles.

[O. Kratky](#) (1938, 1942, 1962)

[G. Porod](#) (1942, 1960, 1961)

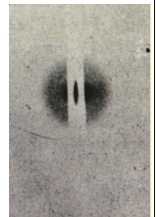
Description of dense systems of
colloidal particles, micelles, and
fibers.

Macromolecules in solution.



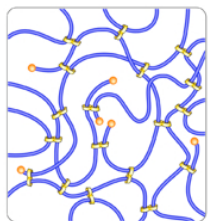
Single crystals of Al-
Cu hardened alloy

courtesy to Dr. I.L.Torriani

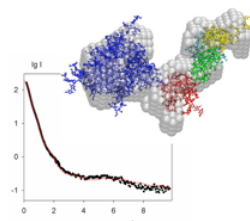


Hemoglobin
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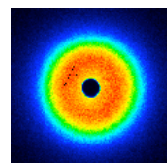
Application of SAXS



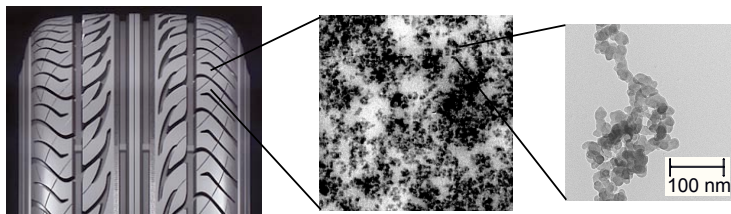
gel



Proteins in solution (Dr. Svergun, EMBL)



Typical SAXS image



Nanocomposite

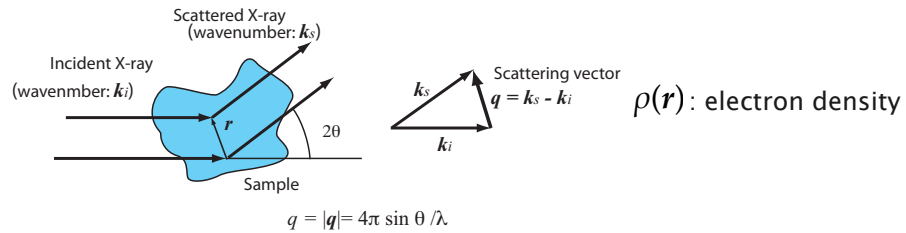
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Basic of X-ray scattering



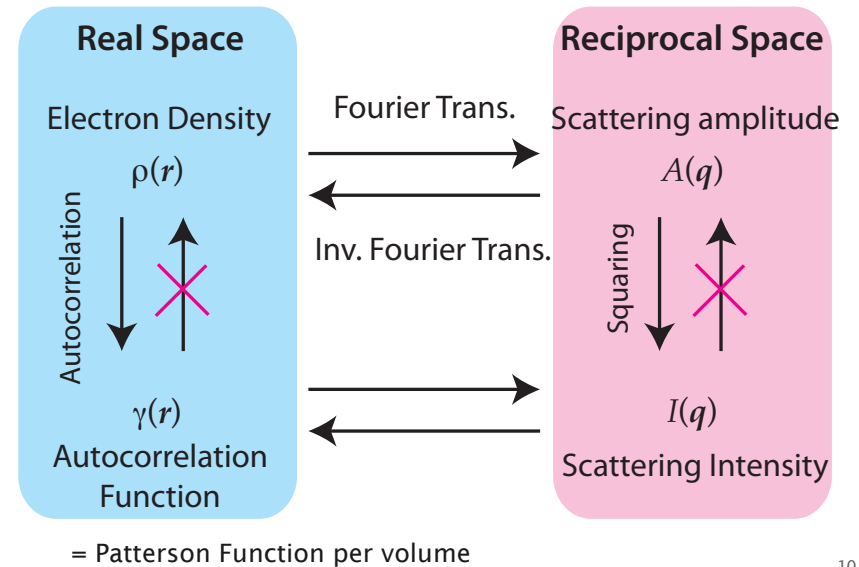
Amplitude of scattered X-ray $A(\mathbf{q}) = \int_V \rho(\mathbf{r}) \exp(-i\mathbf{q} \cdot \mathbf{r}) d\mathbf{r}$

Fourier transform of electron density

Scattering intensity per unit volume: $I(\mathbf{q}) = \frac{A(\mathbf{q})A^*(\mathbf{q})}{V}$

Intensive variables don't depend on the system size (volume).
Extensive variables depend on the system size (volume).

Real space and Reciprocal Space



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Autocorrelation Function & Scattering Intensity

Autocorrelation function of electron density per unit volume

$$\gamma(\mathbf{r}) = \frac{1}{V} \int_V \rho(\mathbf{r}') \rho(\mathbf{r} + \mathbf{r}') d\mathbf{r}' = \frac{1}{V} P(\mathbf{r})$$

(Debye & Bueche 1949)

Patterson Function

asymptotic behavior of the autocorrelation function

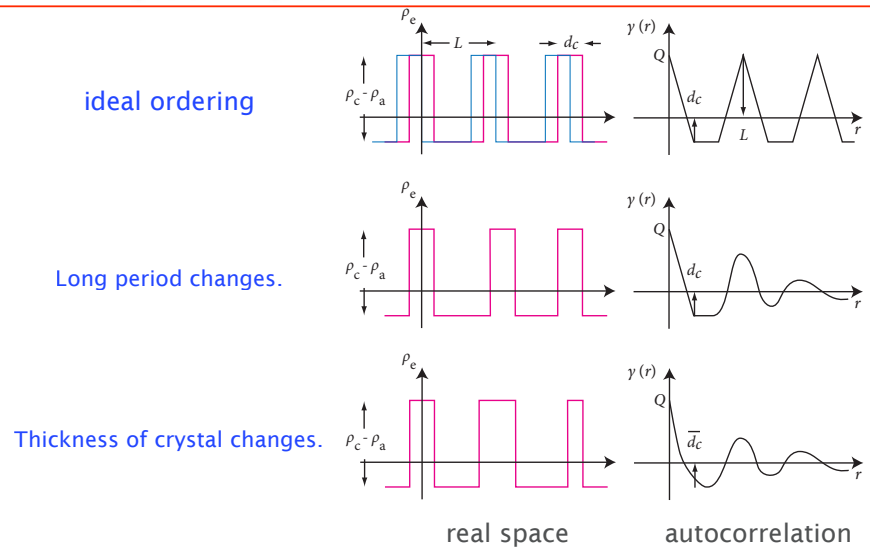
$$\gamma(0) = \langle \rho^2 \rangle \quad \gamma(\infty) = \langle \rho \rangle^2$$

Scattering Intensity : Fourier Transform of autocorrelation function

$$I(\mathbf{q}) = \int_V \gamma(\mathbf{r}) \exp(-i\mathbf{q} \cdot \mathbf{r}) d\mathbf{r}$$

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$\rho(r)$ & $\gamma(r)$ of lamellar structure



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Normalized Autocorrelation Function:

Introducing the relative electron density, $\eta(\mathbf{r})$, as

$$\eta(\mathbf{r}) = \rho(\mathbf{r}) - \langle \rho \rangle \quad \longrightarrow \quad \langle \eta^2 \rangle = \langle (\rho(\mathbf{r}) - \langle \rho \rangle)^2 \rangle = \langle \rho^2 \rangle - \langle \rho \rangle^2$$

Introducing the normalized autocorrelation function, $\gamma_0(\mathbf{r})$, as

$$\langle \eta^2 \rangle \gamma_0(\mathbf{r}) = \frac{1}{V} \int_V \eta(\mathbf{r}') \eta(\mathbf{r} + \mathbf{r}') d\mathbf{r}'$$

then, $\gamma_0(\mathbf{r}) = \frac{\gamma(\mathbf{r}) - \langle \rho \rangle^2}{\langle \eta^2 \rangle}$ where $\gamma_0(0) = 1$ $\gamma_0(\infty) = 0$

$$I(\mathbf{q}) = \langle \eta^2 \rangle \int_V \gamma_0(\mathbf{r}) e^{-i\mathbf{q} \cdot \mathbf{r}} d\mathbf{r} + \langle \rho \rangle^2 \delta(\mathbf{q})$$

The average density fluctuations determine the magnitude of $I(\mathbf{q})$. The normalized autocorrelation function $\gamma_0(\mathbf{r})$ determines the shape of $I(\mathbf{q})$. Not observable.

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Invariant Q

Invariant: $Q = \int_0^\infty I(q) q^2 dq = 2\pi^2 \langle \eta^2 \rangle$
 doesn't depend on the structure

(\therefore)

$$I(\mathbf{q}) = \langle \eta^2 \rangle \int_V \gamma_0(\mathbf{r}) e^{-i\mathbf{q} \cdot \mathbf{r}} d\mathbf{r} + \langle \rho \rangle^2 \delta(\mathbf{q})$$

Parseval's theorem

$$\int I(\mathbf{q}) d\mathbf{q} = (2\pi)^3 \langle \eta^2 \rangle$$

$$4\pi \int I(q) q^2 dq$$

Omitted.

Parseval's theorem
 Fourier Trans.

$$A(\mathbf{q}) \longleftrightarrow \eta(\mathbf{r})$$

$$\int |A(\mathbf{q})|^2 d\mathbf{q} = (2\pi)^3 \int |\eta(\mathbf{r})|^2 d\mathbf{r}$$

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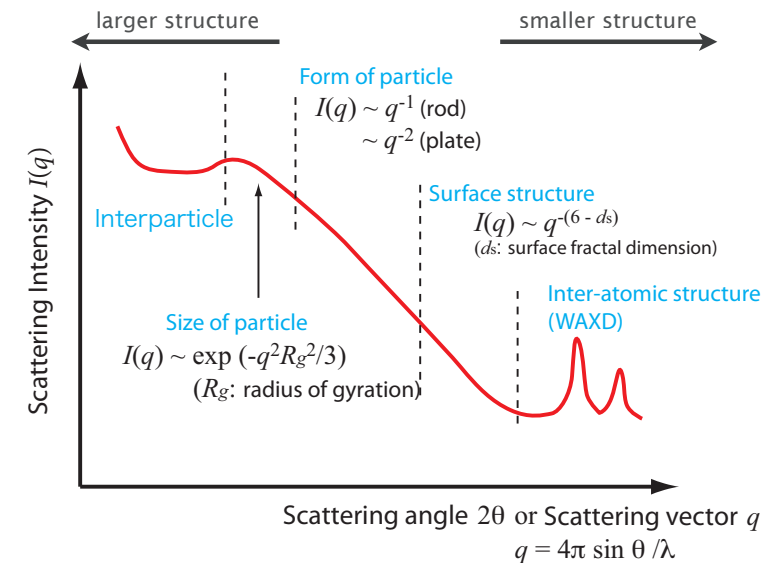
Information obtained from SAXS

1. Size and form of particulate system
 - Colloids, Globular proteins, etc...
2. Correlation length of inhomogeneous structure
 - Polymer chain, two-phase system etc.
3. Lattice parameters of distorted crystals (para-crystal)
4. Degree of crystallinity, crystal size, crystal distortion
 - Crystalline polymer

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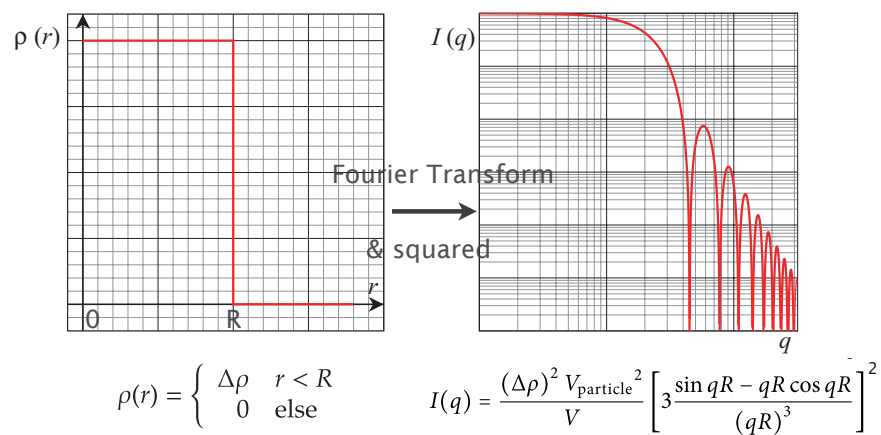
SAXS of particulate system

Relation between SAXS pattern and Structural information



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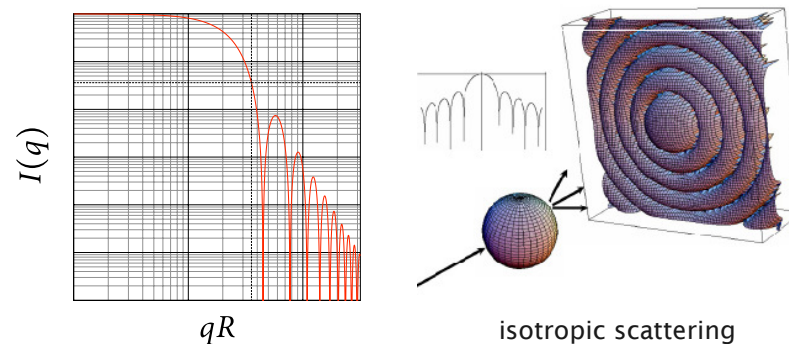
Spherical sample



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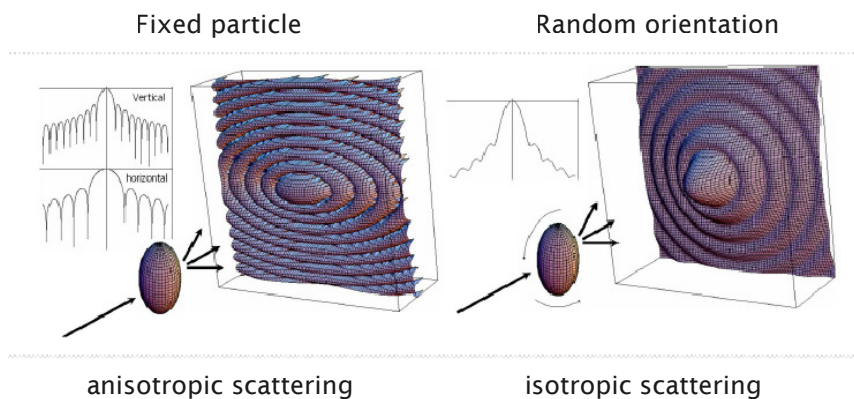
Homogeneous sphere

$$I(q) = \frac{(\Delta\rho)^2 V_{\text{particle}}^2}{V} \left[3 \frac{\sin qR - qR \cos qR}{(qR)^3} \right]^2$$



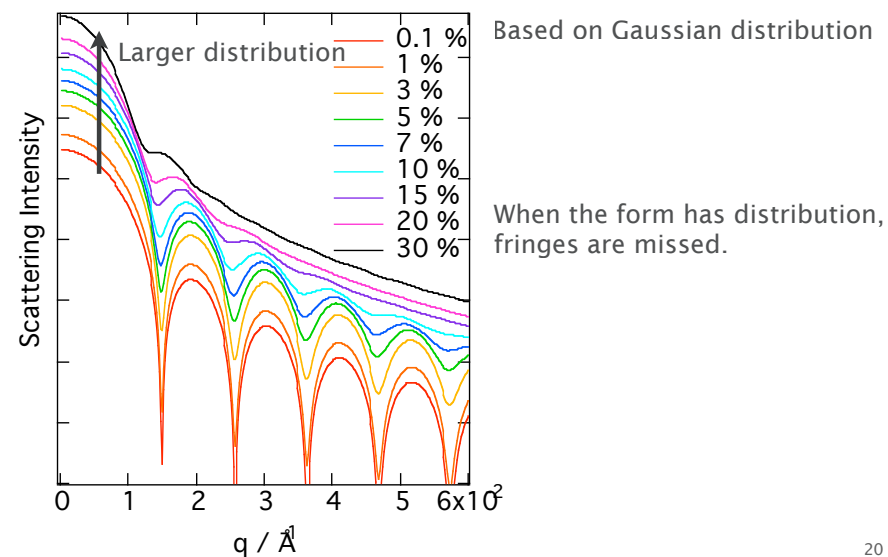
courtesy to Dr. I.L.Torriani 18

Homogeneous ellipsoid



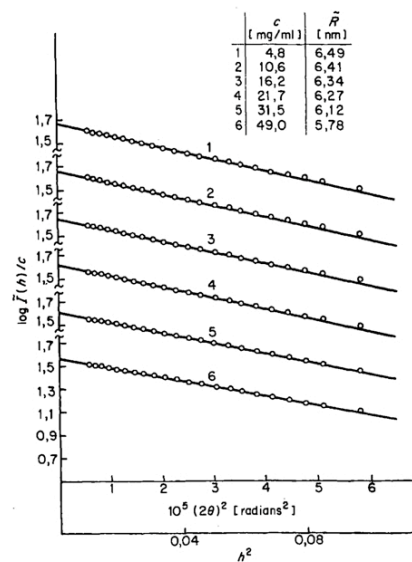
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Size distribution



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Radius of Gyration -- Guinier Plot



$$I(q) \sim \exp\left(-\frac{q^2 R_g^2}{3}\right)$$

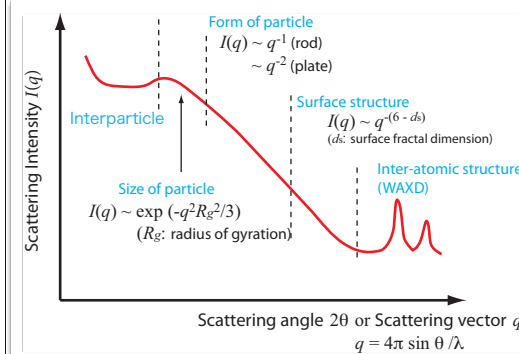
$$\log(I(q)) = -\frac{q^2 R_g^2}{3}$$

Guinier plot: $\log(I(q))$ vs q^2

O. Glatter & O. Kratky ed., "Small Angle X-ray Scattering", Academic Press (1982).

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Structure Factor & Form Factor



$$I(q) = \phi V_{\text{particle}} S(q) F(q)$$

Structure Factor Form Factor

inter-particle structure intra-particle structure

Separation of $S(q)$ & $F(q)$

→ **Everlasting issue**
(especially, for non-crystalline sample)

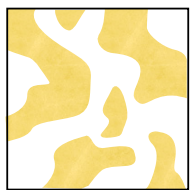
Proposed remedy:

• GIFT (Generalized Inverse Fourier Trans.) by O. Glatter

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Scattering from Inhomogeneous Structure

Electron Density

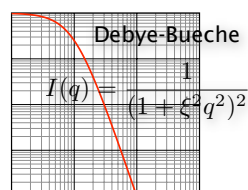


two phase system

Autocorrelation Function

$$\tilde{\rho}(r) = \exp\left(-\frac{r}{\xi}\right)$$

Scattering Intensity



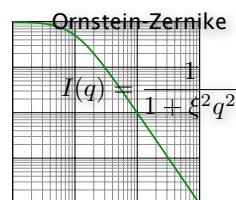
Fourier trans.



polymer chain etc.

Autocorrelation

$$\tilde{\rho}(r) = \frac{\xi}{r} \exp\left(-\frac{r}{\xi}\right)$$



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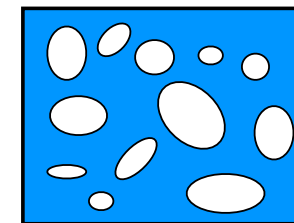
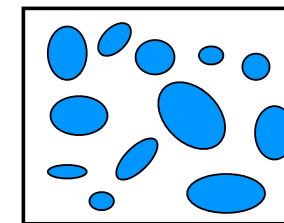
Two-phase system

Phase 1: ρ_1 , volume fraction ϕ Phase 2: ρ_2 volume fraction $1 - \phi$

$$A(q) = \int_{\phi V} \rho_1 e^{-i\mathbf{q} \cdot \mathbf{r}} d\mathbf{r} + \int_{(1-\phi)V} \rho_2 e^{-i\mathbf{q} \cdot \mathbf{r}} d\mathbf{r}$$

$$= \int_{\phi V} (\rho_1 - \rho_2) e^{-i\mathbf{q} \cdot \mathbf{r}} d\mathbf{r} + \rho_2 \int_V e^{-i\mathbf{q} \cdot \mathbf{r}} d\mathbf{r}$$

$$A(q) = \int_V \Delta\rho e^{-i\mathbf{q} \cdot \mathbf{r}} d\mathbf{r} + \rho_2 \delta(q)$$



Babinet's principle

Two complementary structures produce the same scattering.

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Two-phase system -- cont.

Averaged square fluctuation of electron density

$$\langle \eta^2 \rangle = \phi(1 - \phi)(\Delta\rho)^2 \quad \text{where} \quad \Delta\rho = \rho_1 - \rho_2$$

ϕ : volume fraction

$$I(q) = 4\pi \langle \eta^2 \rangle \int_0^\infty \gamma_0(r) \frac{\sin(qr)}{qr} r^2 dr$$

$$I(q) = 4\pi \phi(1 - \phi)(\Delta\rho)^2 \int_0^\infty \gamma_0(r) \frac{\sin(qr)}{qr} r^2 dr$$

$$Q = \int_0^\infty I(q) q^2 dq = 2\pi^2 \phi(1 - \phi)(\Delta\rho)^2$$

Invariant: does not depend on the structure of the two phases but only on the **volume fractions** and **the contrast between the two phases**.

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Porod's law

For a sharp interface, the scattered intensity decreases as **q^{-4}** .

$$I(q) \rightarrow (\Delta\rho)^2 \frac{2\pi}{q^4} S/V$$

internal surface area

Combination of Porod's law & Invariant Q

$$\pi \cdot \frac{\lim_{q \rightarrow \infty} I(q) q^4}{Q} = \frac{S}{V}$$

surface-volume ratio

important for the characterization of porous materials

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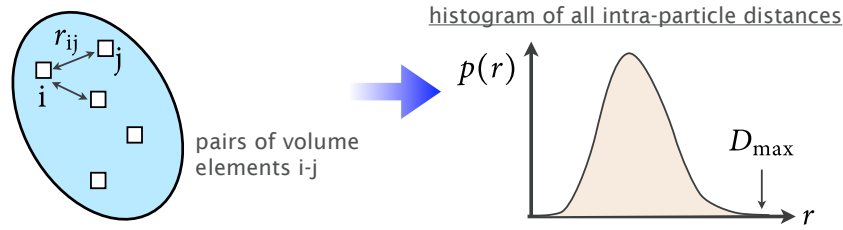
Pair Distance Distribution Function: PDDF

Scattering intensity: $I(q) = 4\pi \int_0^\infty \gamma_0(r) \frac{\sin(qr)}{qr} r^2 dr$
(when isotropic)

PDDF : $p(r) = r^2 \gamma_0(r)$

the set of distances joining the volume elements within a particle, including the case of non-uniform density distribution.

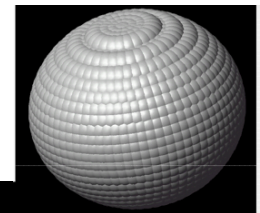
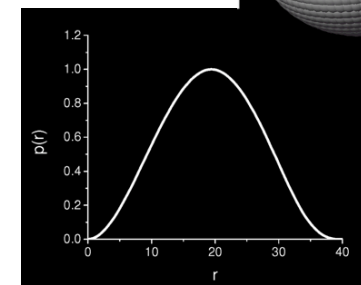
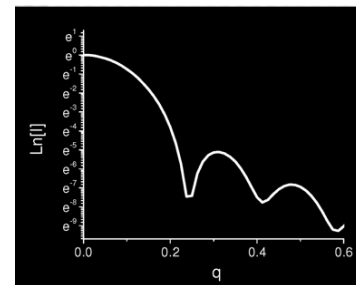
Particle's **SHAPE** and maximum **DIMENSION**.



$$I(q) = 4\pi \int_0^\infty p(r) \frac{\sin(qr)}{qr} dr$$

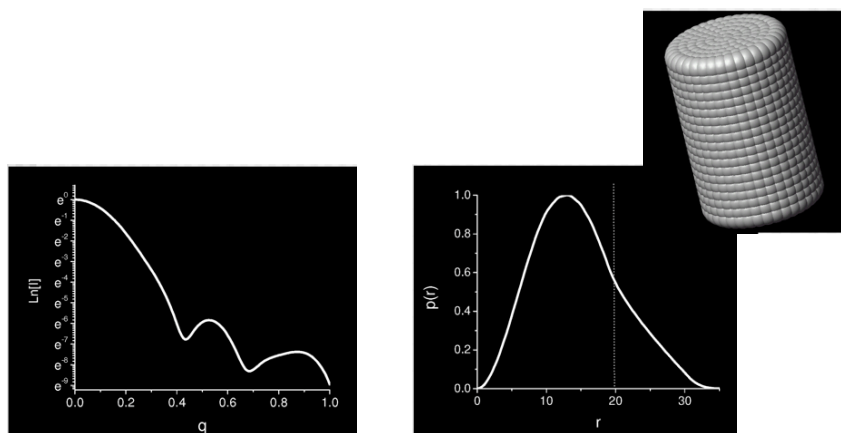
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I(q) & P(r) of Spherical particle



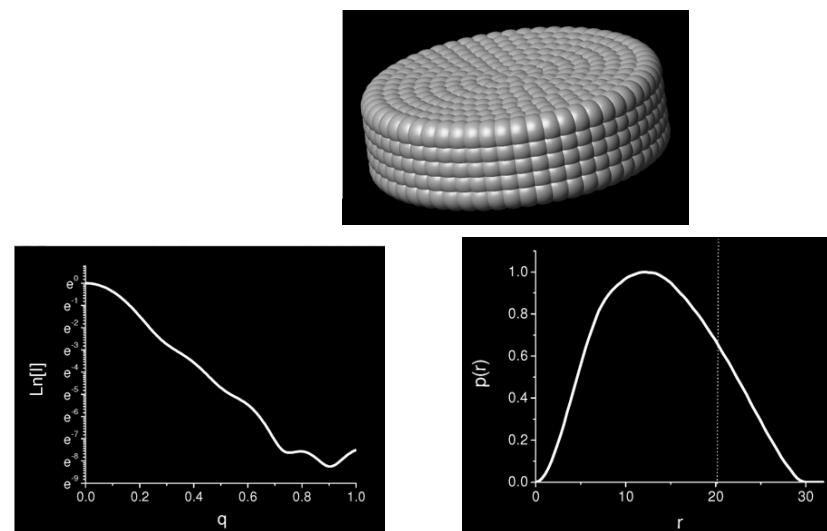
courtesy to Dr. I.L.Torriani 28

I(q) & P(r) of Cylindrical particle



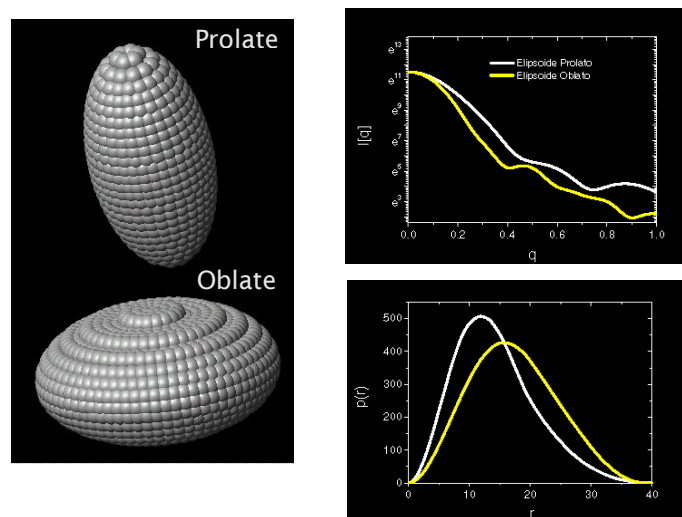
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I(q) & P(r) of Flat particle



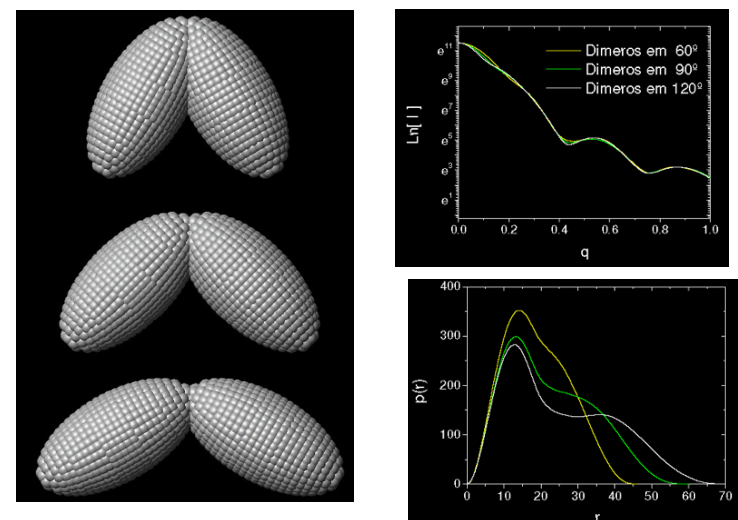
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I(q) & P(r) of Ellipsoids



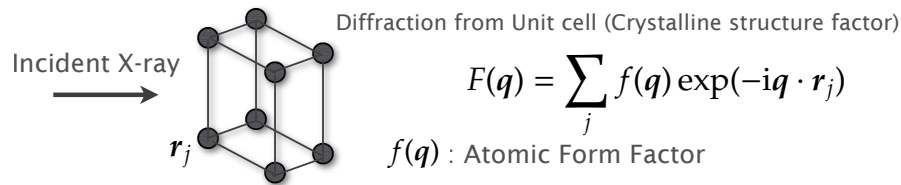
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I(q) & P(r) of Two ellipsoid = dimer



courtesy to Dr. I.L.Torriani 32

Diffraction from Periodic Structure



$$F(q) = \sum_j f(q) \exp(-iq \cdot r_j)$$

$f(q)$: Atomic Form Factor

Diffraction Intensity: $I(q) \sim |G(q)|^2 |F(q)|^2$

Laue function: $|G(q)|^2 = \frac{\sin^2(\pi N q \cdot r)}{\sin^2(\pi q \cdot r)}$

- Maximum $\sim N^2$
- FWHM $\sim 2\pi/N$
 - FWHM \rightarrow Size of crystal

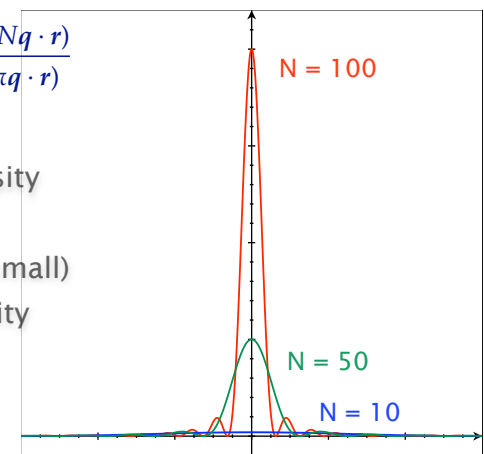
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Laue Function

Laue function: $|G(q)|^2 = \frac{\sin^2(\pi N q \cdot r)}{\sin^2(\pi q \cdot r)}$

- Large crystal
 - High diffraction intensity
 - Narrow FWHM
- Soft matter (crystal size: small)
 - Low diffraction intensity
 - Wide FWHM

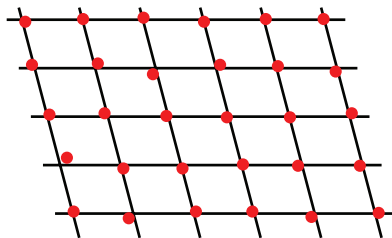
\rightarrow low S/N



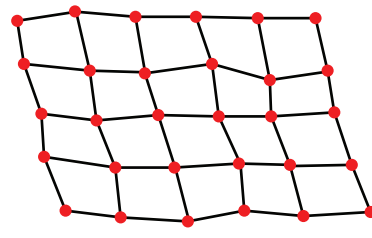
Crystal size \rightarrow Intensity & FWHM of diffraction

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Imperfection of crystal (2D)



Imperfection of 1st kind
Thermal fluctuation etc.

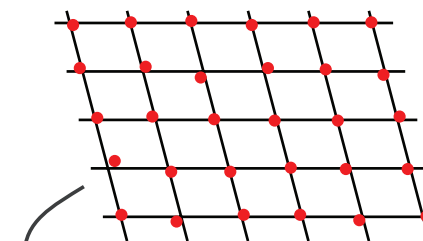


Imperfection of 2nd kind
in the case of soft matter

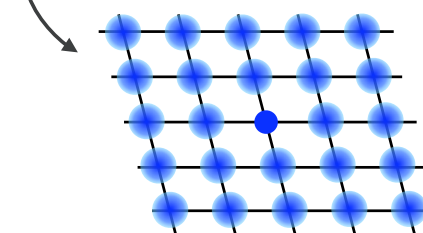
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Imperfection of crystal

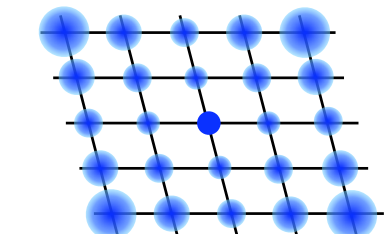
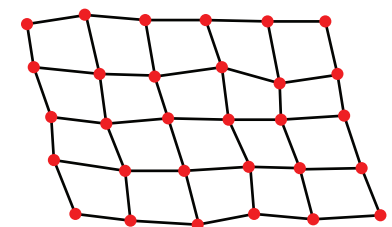
Imperfection of 1st kind



Autocorrelation





Imperfection of 2nd kind




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Imperfection of lattice (1D)

Perfect lattice 

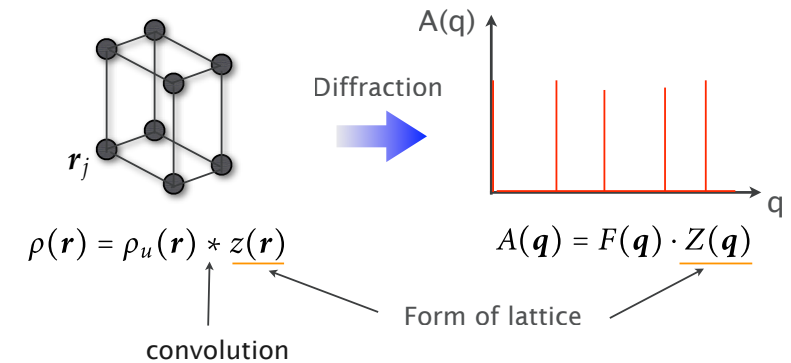
Imperfection of 1st kind 

Imperfection of 2nd kind 

☞ Effect of imperfections on diffraction ?

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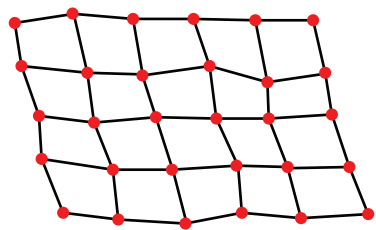
Diffraction from lattice-structure



z(r) with imperfection ---> calculate Z(q)

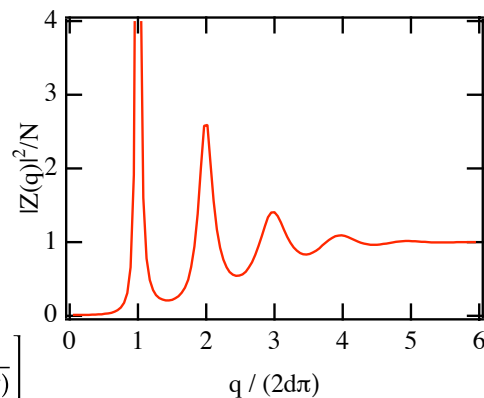
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Imperfection of 2nd kind



Paracrystal theory

$$|Z(q)|^2 = N \left[1 + \frac{P(q)}{1 - P(q)} + \frac{P^*(q)}{1 - P^*(q)} \right]$$



**Decrease of diffraction intensity and
Increase of FWHM**

R. Hosemann, S. N. Bagchi, Direct Analysis of Diffraction
by Matter, North-Holland, Amsterdam (1962).

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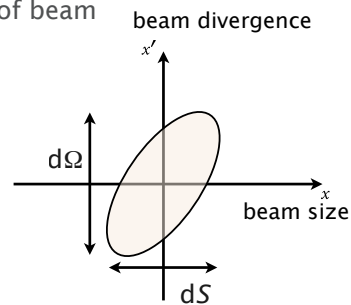
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X-ray Source for SAXS

Emittance -- Product of size and divergence of beam

$$\text{Brilliance} = \frac{d^4 N}{dt \cdot d\Omega \cdot dS \cdot d\lambda/\lambda}$$

[photons/(s · mrad² · mm² · 0.1% rel.bandwidth)]



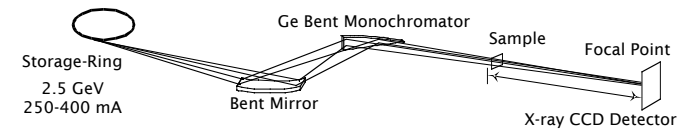
SAXS needs to use a low divergence and small beam

→ High brilliance beam is required !

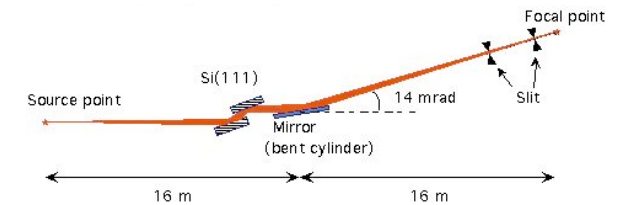
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X-ray Optics for SAXS

PF BL-15A

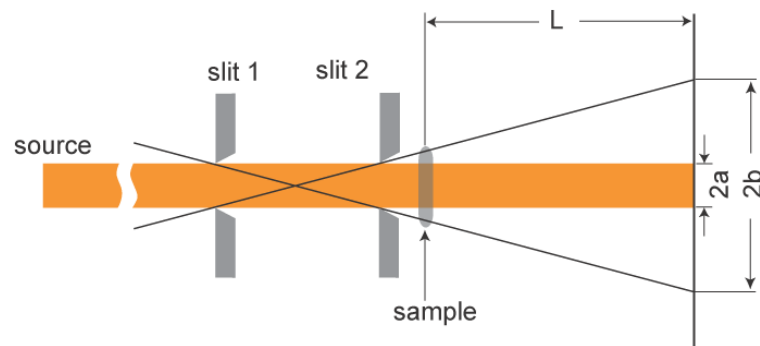


PF BL-10C



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Slits for SAXS



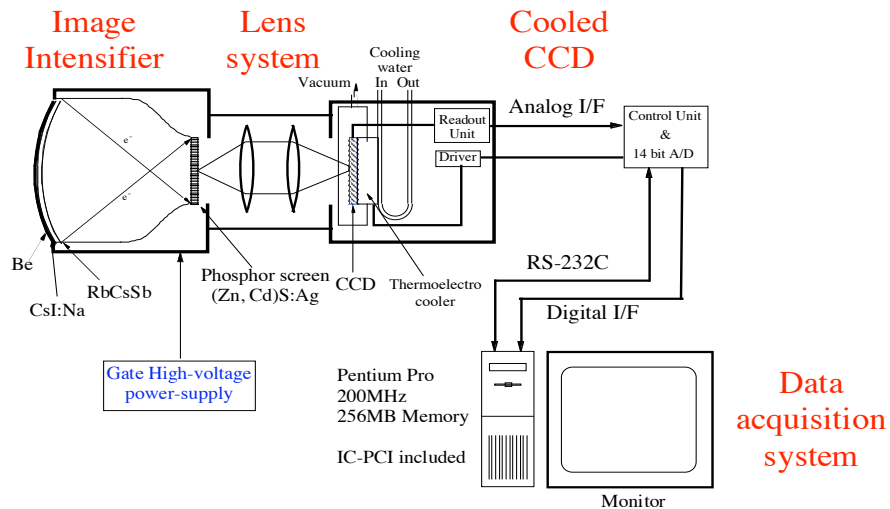
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Detectors for SAXS

	Good Point	Drawback
PSPC	<ul style="list-style-type: none"> time-resolved photon-counting low noise 	<ul style="list-style-type: none"> counting-rate limitation
Imaging Plate	<ul style="list-style-type: none"> wide dynamic range large active area 	<ul style="list-style-type: none"> slow read-out
CCD with Image Intensifier	<ul style="list-style-type: none"> time-resolved high sensitivity 	<ul style="list-style-type: none"> image distortion low dynamic range
Fiber-tapered CCD	<ul style="list-style-type: none"> fast read-out automated measurement 	<ul style="list-style-type: none"> not good for time-resolved

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X-ray CCD detector with Image Intensifier



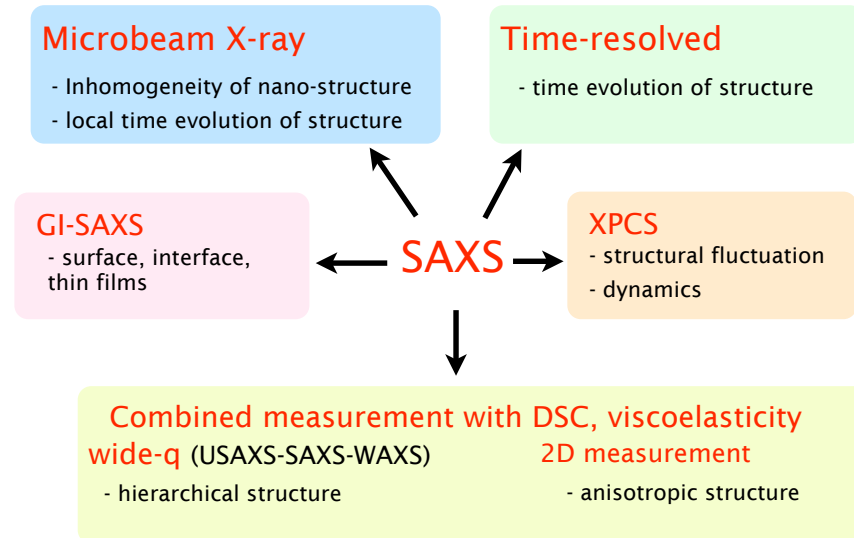
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 - **Microbeam, GI-SAXS, USAXS, XPCS etc...**

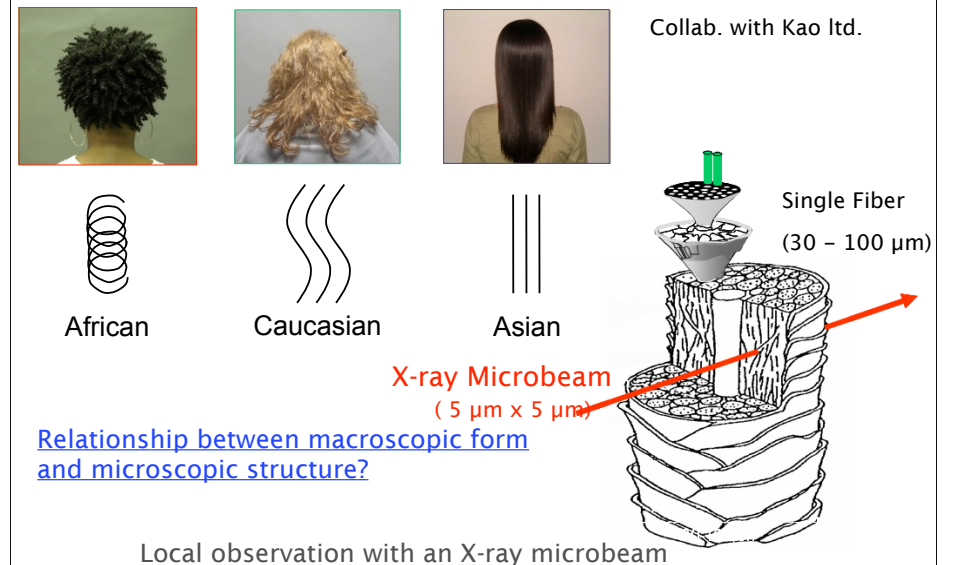
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Advanced SAXS

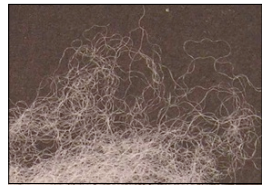


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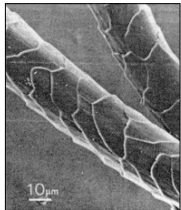
Application of paracrystal theory



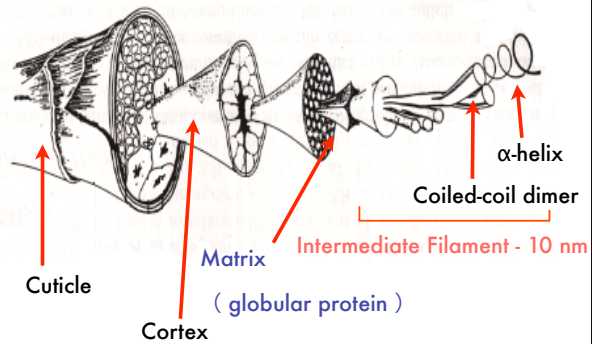
Internal structure of wool



SEM 像



H. Ito et al., Textile Res. J. 54, 397-402 (1986).



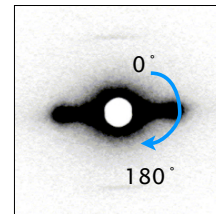
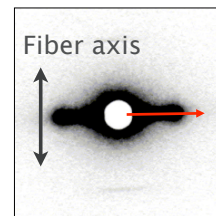
R. D. B. Fraser et al., Proc. Int. Wool Text. Res. Conf., Tokyo, II, 37, (1985) partially changed.

Relationship between IF distribution and hair curlness?

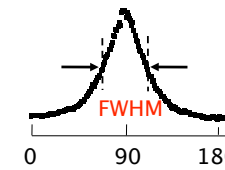
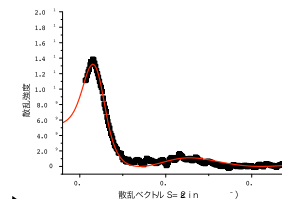
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Structure of Intermediate Filament

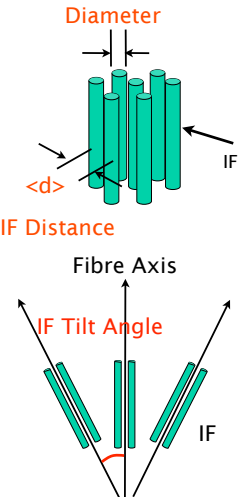
Scattering pattern



1D intensity profile

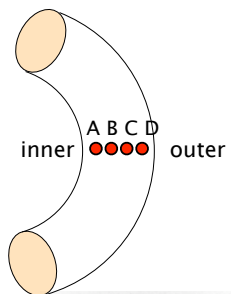


Real space structure

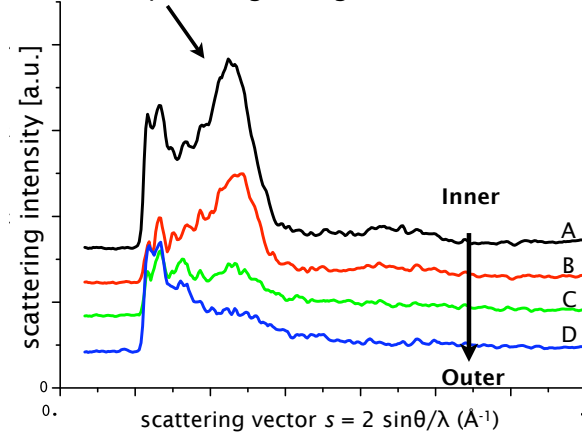


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Diffraction intensity profiles

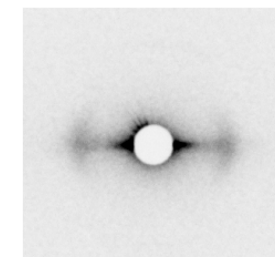
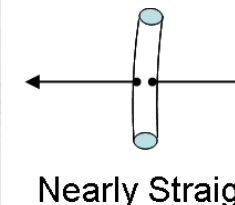
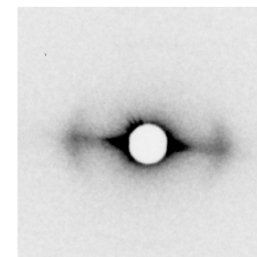
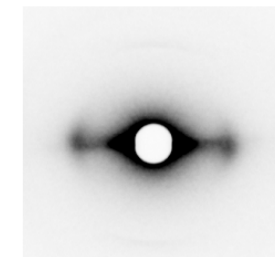
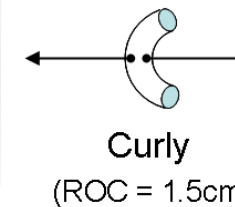
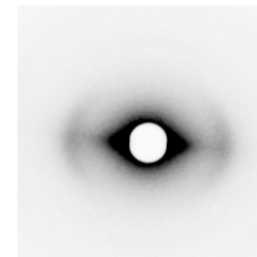


Diffraction peak originating from IF



Difference in diffraction intensity --> Structural difference in cortex.

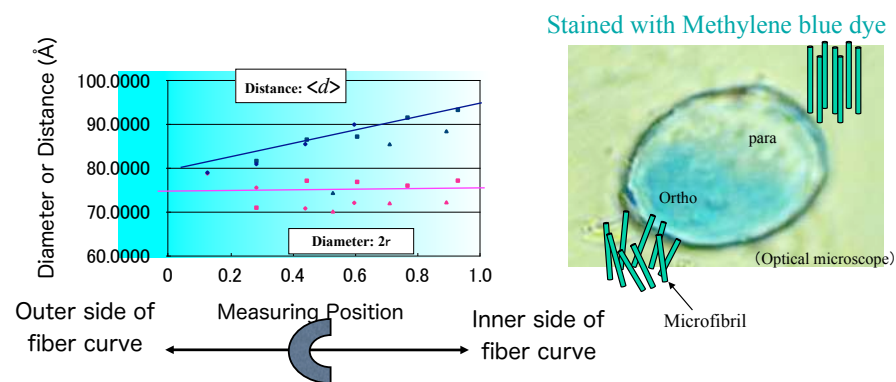
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Nearly Straight
(ROC ~ 10cm)

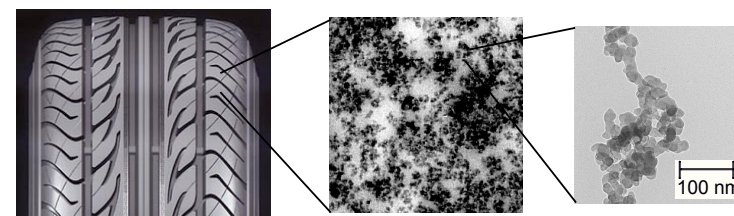
ROC: Radius of Curvature

Distribution of Intermediate Filament (IF)



- Diameter of Intermediate filament (IF) is almost constant
- Distance between IFs increases from outer to inner sides.

USAXS for nano-composite in rubber



Nanocomposite

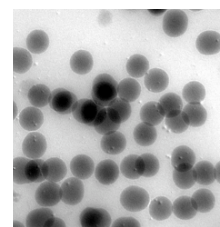
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USAXS using medium-length beamline



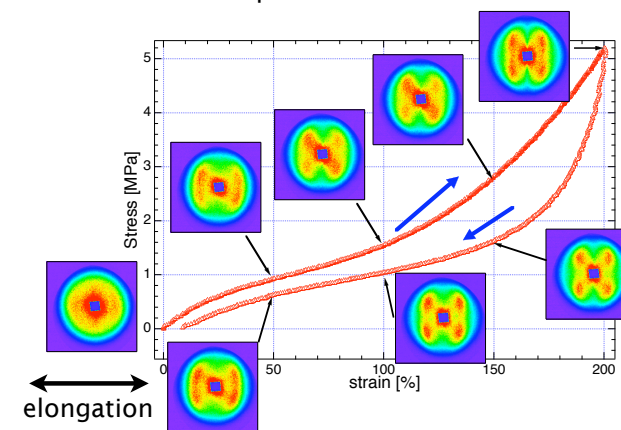
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USAXS patterns from elongated rubber



TEM image

Rubber filled with spherical silica

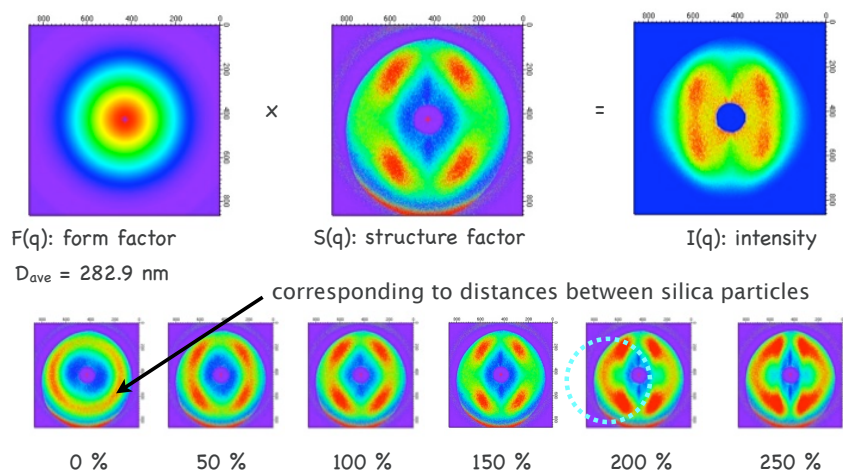


Scattering pattern also shows hysteresis.

Y. Shinohara et al., J. Appl. Cryst., **40**, s397 (2007).

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Separation of Structure factor $S(q)$

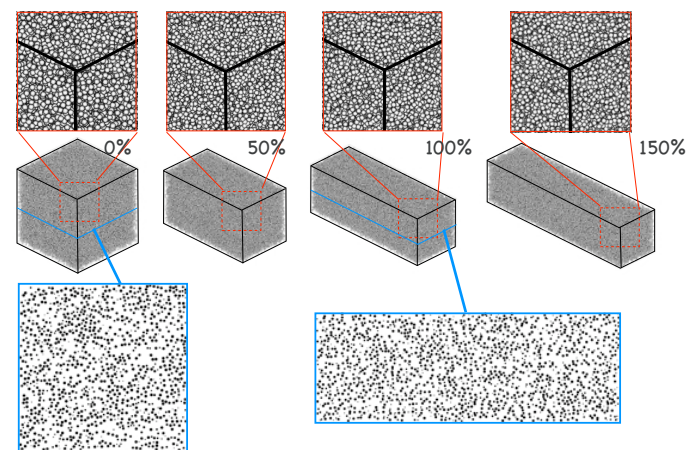


Y. Shinohara et al., J. Appl. Cryst., 40, s397 (2007).

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Analysis by RMC (Reverse Monte Carlo)

Sample : monodisperse silica spheres in rubber

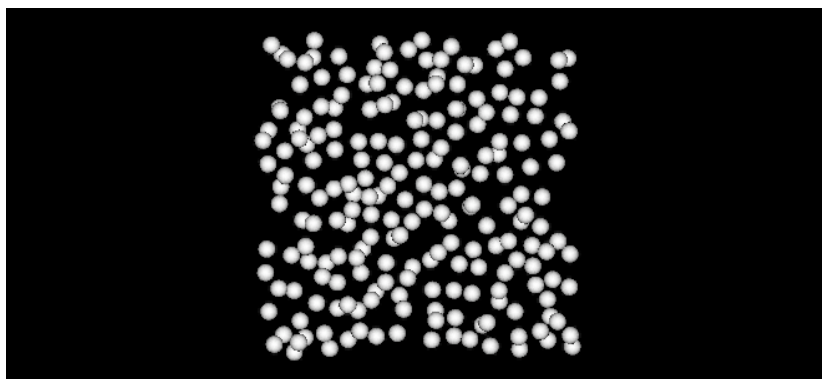


Courtesy to Dr.Hagita & Prof. Arai

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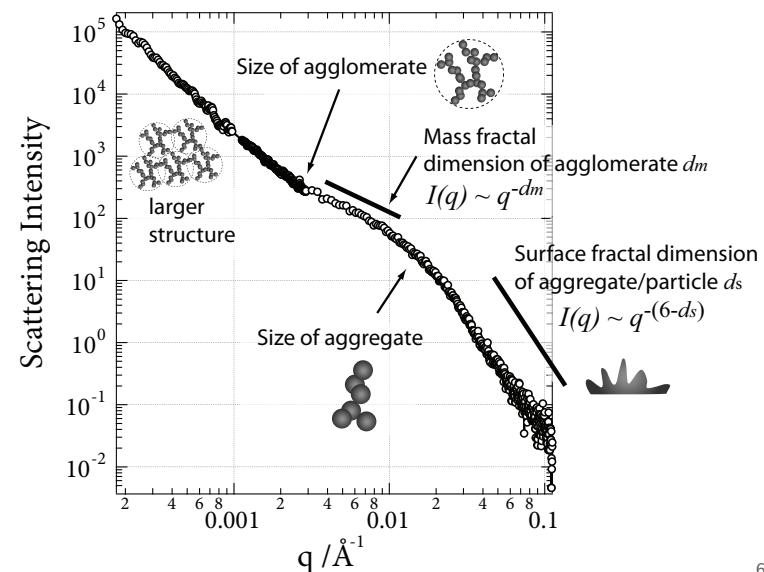
Visualization of structure change of fillers during elongation of rubber by using SAXS and RMC

0 → 150% : elongation process



non-uniformity increases along the elongation direction.

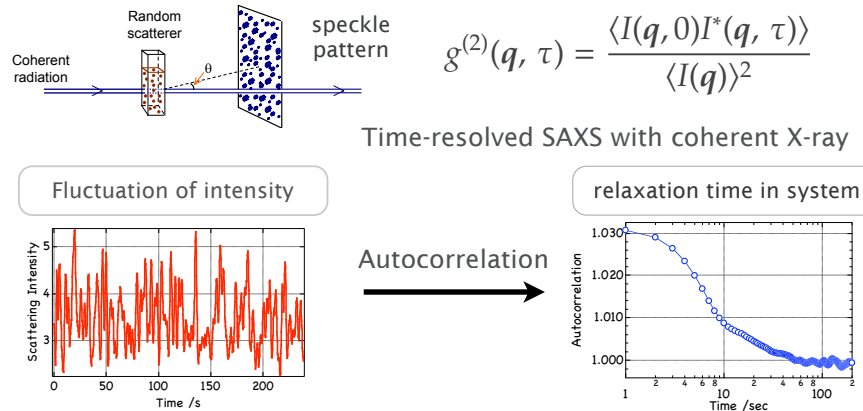
Structural information from USAXS



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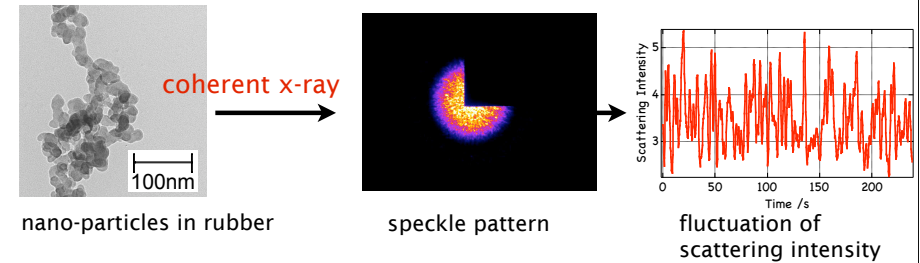
X-ray Photon Correlation Spectroscopy: XPCS

- Measurement of fluctuation of X-ray scattering intensity
--> Structural fluctuation in sample



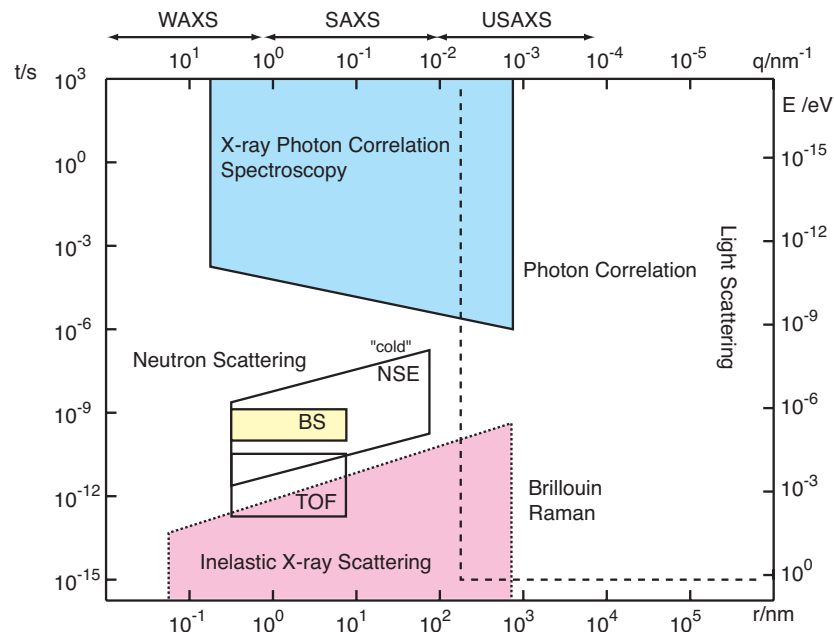
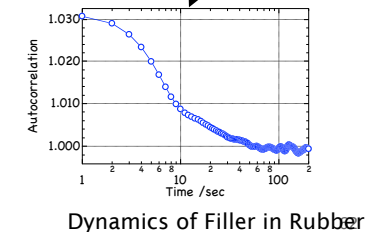
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Dynamics of nanoparticles observed with XPCS



Dependence of dynamics on...

- Volume fraction of nano-particles
- Vulcanization (cross-linking)
- Type of nano-particles
- Temperature etc.



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